



QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2018  
OF THE CONDITION AND AFFAIRS OF THE

Health Alliance Plan of Michigan

NAIC Group Code	1311	1311	NAIC Company Code	95844	Employer's ID Number	38-2242827
	(Current Period)	(Prior Period)				
Organized under the Laws of	Michigan			State of Domicile or Port of Entry	Michigan	
Country of Domicile	United States					
Licensed as business type:	Life, Accident & Health [ ]		Property/Casualty [ ]		Hospital, Medical & Dental Service or Indemnity [ ]	
	Dental Service Corporation [ ]		Vision Service Corporation [ ]		Health Maintenance Organization [ X ]	
	Other [ ]				Is HMO Federally Qualified? Yes [ X ] No [ ]	
Incorporated/Organized	06/27/1978		Commenced Business		02/08/1979	
Statutory Home Office	2850 West Grand Boulevard			Detroit, MI, US 48202		
	(Street and Number)			(City or Town, State, Country and Zip Code)		
Main Administrative Office	2850 West Grand Boulevard		Detroit, MI, US 48202		313-872-8100	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Mail Address	2850 West Grand Boulevard		Detroit, MI, US 48202			
	(Street and Number or P.O. Box)		(City or Town, State, Country and Zip Code)			
Primary Location of Books and Records	2850 West Grand Boulevard		Detroit, MI, US 48202		248-443-1093	
	(Street and Number)		(City or Town, State, Country and Zip Code)		(Area Code) (Telephone Number)	
Internet Web Site Address	www.hap.org					
Statutory Statement Contact	Dianna L. Ronan CPA			248-443-1093		
	(Name)			(Area Code) (Telephone Number) (Extension)		
	dronan@hap.org			248-443-8610		
	(E-Mail Address)			(FAX Number)		

OFFICERS

Name	Title	Name	Title
Teresa Lynn Kline	President and CEO	Richard Evan Swift	Treasurer
Michelle Denise Johnson Tidjani Esq.	Secretary	William Robert Barnes #	Assistant Secretary

OTHER OFFICERS

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DIRECTORS OR TRUSTEES

Marvin Watson Beatty	Shari Lee Burgess	Sandra Ann Cavette MPH RDH	Colleen Marie Ezzeddine Ph D
Jacalyn Sue Goforth #	Joyce Viocha Hayes-Giles	Harvey Hollins III	Jamie Chin-Chen Hsu Ph D
Teresa Lynn Kline	Wright Lowenstein Lassiter III	Raymond Carmelo Lope'	Judith Stephanie Milosic
Susanne Mary Mitchell	Marguerite Subranni Rigby	Michelle Block Schreiber MD	

State of .....Michigan.....

ss

County of .....Wayne.....

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC *Annual Statement Instructions and Accounting Practices and Procedures* manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

Teresa Lynn Kline President and CEO	Richard Evan Swift Treasurer	William Robert Barnes Assistant Secretary
Subscribed and sworn to before me this _____ day of _____,		a. Is this an original filing? Yes [ X ] No [ ]
		b. If no:
		1. State the amendment number _____
		2. Date filed _____
		3. Number of pages attached _____

Roderick Irwin Curry, Notary  
August 14 2020

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

ASSETS

	Current Statement Date			4  December 31 Prior Year Net Admitted Assets
	1  Assets	2  Nonadmitted Assets	3  Net Admitted Assets (Cols. 1 - 2)	
1. Bonds .....	105,625,456		105,625,456	58,283,494
2. Stocks:				
2.1 Preferred stocks .....			0	0
2.2 Common stocks .....	106,970,391		106,970,391	140,324,630
3. Mortgage loans on real estate:				
3.1 First liens .....			0	0
3.2 Other than first liens .....			0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$ ..... encumbrances) .....	2,690,104	101,598	2,588,505	3,068,958
4.2 Properties held for the production of income (less \$ ..... encumbrances) .....			0	0
4.3 Properties held for sale (less \$ ..... encumbrances) .....			0	0
5. Cash (\$ ..... (3,307,075) ), cash equivalents (\$ ..... 229,239,315 ) and short-term investments (\$ ..... 886,376 ) .....	226,818,616		226,818,616	266,075,949
6. Contract loans (including \$ ..... premium notes) .....			0	0
7. Derivatives .....	0		0	0
8. Other invested assets .....	0		0	11,989,181
9. Receivables for securities .....	961,499		961,499	197,130
10. Securities lending reinvested collateral assets .....			0	0
11. Aggregate write-ins for invested assets .....	2,021,618	0	2,021,618	1,977,718
12. Subtotals, cash and invested assets (Lines 1 to 11) .....	445,087,684	101,598	444,986,086	481,917,060
13. Title plants less \$ ..... charged off (for Title insurers only) .....			0	0
14. Investment income due and accrued .....	941,858		941,858	670,062
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection .....	19,069,978		19,069,978	35,276,121
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ ..... earned but unbilled premiums) .....			0	0
15.3 Accrued retrospective premiums (\$ ..... ) and contracts subject to redetermination (\$ ..... ) .....			0	0
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers .....	22,900		22,900	243,102
16.2 Funds held by or deposited with reinsured companies .....			0	0
16.3 Other amounts receivable under reinsurance contracts .....			0	0
17. Amounts receivable relating to uninsured plans .....	2,121,574		2,121,574	1,440,887
18.1 Current federal and foreign income tax recoverable and interest thereon .....			0	0
18.2 Net deferred tax asset .....			0	0
19. Guaranty funds receivable or on deposit .....			0	0
20. Electronic data processing equipment and software .....	55,026,350	52,251,406	2,774,944	2,493,412
21. Furniture and equipment, including health care delivery assets (\$ ..... ) .....	9,903,484	9,903,484	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates .....			0	0
23. Receivables from parent, subsidiaries and affiliates .....	10,261,344		10,261,344	13,829,754
24. Health care (\$ ..... 27,047,435 ) and other amounts receivable .....	27,657,850	610,415	27,047,435	26,188,975
25. Aggregate write-ins for other-than-invested assets .....	5,347,289	5,200,726	146,563	123,016
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25) .....	575,440,311	68,067,629	507,372,683	562,182,389
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts .....			0	0
28. Total (Lines 26 and 27)	575,440,311	68,067,629	507,372,683	562,182,389
<b>DETAILS OF WRITE-INS</b>				
1101. Deferred Compensation .....	2,015,519		2,015,519	1,961,275
1102. Rabbi Trust .....	6,099		6,099	16,443
1103. ....			0	0
1198. Summary of remaining write-ins for Line 11 from overflow page .....	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	2,021,618	0	2,021,618	1,977,718
2501. Prepaid Expense .....	5,200,726	5,200,726	0	0
2502. Other Receivables .....	88,720		88,720	65,173
2503. Other Assets .....	57,843		57,843	57,843
2598. Summary of remaining write-ins for Line 25 from overflow page .....	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	5,347,289	5,200,726	146,563	123,016

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ ..... reinsurance ceded).....	145,359,353	1,348,417	146,707,770	176,759,540
2. Accrued medical incentive pool and bonus amounts .....	4,322,181		4,322,181	8,699,078
3. Unpaid claims adjustment expenses .....	1,888,359		1,888,359	1,888,359
4. Aggregate health policy reserves including the liability of \$ ..... 1,647,710 for medical loss ratio rebate per the Public Health Service Act.....	12,850,555		12,850,555	26,109,164
5. Aggregate life policy reserves .....			0	0
6. Property/casualty unearned premium reserve .....			0	0
7. Aggregate health claim reserves .....			0	0
8. Premiums received in advance .....	13,241,503		13,241,503	10,318,691
9. General expenses due or accrued .....	26,613,947		26,613,947	33,670,279
10.1 Current federal and foreign income tax payable and interest thereon (including \$ ..... on realized gains (losses)) .....			0	0
10.2 Net deferred tax liability.....			0	0
11. Ceded reinsurance premiums payable .....			0	0
12. Amounts withheld or retained for the account of others .....			0	0
13. Remittances and items not allocated .....			0	0
14. Borrowed money (including \$ ..... 5,000,000 current) and interest thereon \$ ..... (including \$ ..... current) .....	15,416,677		15,416,677	19,166,676
15. Amounts due to parent, subsidiaries and affiliates .....	17,182,777		17,182,777	25,316,006
16. Derivatives.....			0	0
17. Payable for securities .....	1,223,639		1,223,639	894,740
18. Payable for securities lending .....			0	0
19. Funds held under reinsurance treaties (with \$ ..... authorized reinsurers, \$ ..... unauthorized reinsurers and \$ ..... certified reinsurers) .....			0	0
20. Reinsurance in unauthorized and certified (\$ ..... ) companies .....			0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates .....			0	0
22. Liability for amounts held under uninsured plans .....	3,021,362		3,021,362	21,609
23. Aggregate write-ins for other liabilities (including \$ ..... current) .....	29,131,780	0	29,131,780	30,069,192
24. Total liabilities (Lines 1 to 23).....	270,252,135	1,348,417	271,600,552	332,913,333
25. Aggregate write-ins for special surplus funds .....	XXX	XXX	0	17,898,782
26. Common capital stock .....	XXX	XXX		0
27. Preferred capital stock .....	XXX	XXX		0
28. Gross paid in and contributed surplus .....	XXX	XXX	30,170,511	30,170,511
29. Surplus notes .....	XXX	XXX		0
30. Aggregate write-ins for other-than-special surplus funds .....	XXX	XXX	0	0
31. Unassigned funds (surplus) .....	XXX	XXX	205,601,620	181,199,763
32. Less treasury stock, at cost:				
32.1 ..... shares common (value included in Line 26 \$ ..... ) .....	XXX	XXX		0
32.2 ..... shares preferred (value included in Line 27 \$ ..... ) .....	XXX	XXX		0
33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....	XXX	XXX	235,772,131	229,269,056
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	507,372,683	562,182,389
DETAILS OF WRITE-INS				
2301. Pension Liability - Long Term.....	25,560,144		25,560,144	23,602,340
2302. Retiree Health Benefits.....	1,556,117		1,556,117	1,592,824
2303. Deferred Compensation.....	2,015,519		2,015,519	1,961,275
2398. Summary of remaining write-ins for Line 23 from overflow page .....	0	0	0	2,912,753
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)	29,131,780	0	29,131,780	30,069,192
2501. Surplus Appropriated for ACA Section 9010 Fee Due in 2018.....	XXX	XXX		17,898,782
2502. ....	XXX	XXX		0
2503. ....	XXX	XXX		0
2598. Summary of remaining write-ins for Line 25 from overflow page .....	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	XXX	XXX	0	17,898,782
3001. ....	XXX	XXX		0
3002. ....	XXX	XXX		0
3003. ....	XXX	XXX		0
3098. Summary of remaining write-ins for Line 30 from overflow page .....	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months.....	XXX	1,691,132	2,419,387	3,198,571
2. Net premium income (including \$ non-health premium income).....	XXX	1,107,009,123	1,383,606,680	1,832,677,953
3. Change in unearned premium reserves and reserve for rate credits .....	XXX	(1,444,484)	0	(4,506,683)
4. Fee-for-service (net of \$ medical expenses) .....	XXX		0	0
5. Risk revenue .....	XXX		0	0
6. Aggregate write-ins for other health care related revenues .....	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues .....	XXX	0	0	(624,609)
8. Total revenues (Lines 2 to 7) .....	XXX	1,105,564,639	1,383,606,680	1,827,546,662
<b>Hospital and Medical:</b>				
9. Hospital/medical benefits .....		768,902,539	924,910,928	1,234,285,372
10. Other professional services .....		39,858,779	29,562,306	40,094,209
11. Outside referrals .....		4,184,873	30,234,745	41,411,265
12. Emergency room and out-of-area .....		60,402,827	70,649,077	92,652,677
13. Prescription drugs .....		109,453,247	186,265,913	231,558,934
14. Aggregate write-ins for other hospital and medical.....	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts.....			1,071,051	1,071,051
16. Subtotal (Lines 9 to 15) .....	0	982,802,265	1,242,694,020	1,641,073,508
<b>Less:</b>				
17. Net reinsurance recoveries .....		220,202	(1,969,475)	(248,090)
18. Total hospital and medical (Lines 16 minus 17) .....	0	982,582,063	1,244,663,495	1,641,321,598
19. Non-health claims (net).....			0	0
20. Claims adjustment expenses, including \$ 13,758,321 cost containment expenses.....		19,180,347	19,188,026	24,327,118
21. General administrative expenses.....		122,046,756	115,413,547	157,965,339
22. Increase in reserves for life and accident and health contracts (including \$ increase in reserves for life only).....			0	0
23. Total underwriting deductions (Lines 18 through 22) .....	0	1,123,809,165	1,379,265,068	1,823,614,055
24. Net underwriting gain or (loss) (Lines 8 minus 23) .....	XXX	(18,244,526)	4,341,612	3,932,607
25. Net investment income earned .....		10,650,825	2,875,489	4,506,633
26. Net realized capital gains (losses) less capital gains tax of \$ .....		(78,828)	(130,221)	27,727
27. Net investment gains (losses) (Lines 25 plus 26) .....	0	10,571,997	2,745,267	4,534,359
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ ) (amount charged off \$ )] .....			0	0
29. Aggregate write-ins for other income or expenses .....	0	557,511	466,160	275,718
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29) .....	XXX	(7,115,019)	7,553,039	8,742,684
31. Federal and foreign income taxes incurred .....	XXX		0	0
32. Net income (loss) (Lines 30 minus 31) .....	XXX	(7,115,019)	7,553,039	8,742,684
<b>DETAILS OF WRITE-INS</b>				
0601. ....	XXX		0	0
0602. ....	XXX		0	0
0603. ....	XXX		0	0
0698. Summary of remaining write-ins for Line 6 from overflow page .....	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 6 above) .....	XXX	0	0	0
0701. Gain/(Loss) on Sale of Assets.....	XXX		0	(624,609)
0702. ....	XXX		0	0
0703. ....	XXX		0	0
0798. Summary of remaining write-ins for Line 7 from overflow page .....	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 7 above) .....	XXX	0	0	(624,609)
1401. ....			0	0
1402. ....			0	0
1403. ....			0	0
1498. Summary of remaining write-ins for Line 14 from overflow page .....	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above) .....	0	0	0	0
2901. Delta Dental Commission Revenues.....		557,511	466,160	275,718
2902. ....				0
2903. ....			0	0
2998. Summary of remaining write-ins for Line 29 from overflow page .....	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above) .....	0	557,511	466,160	275,718

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
<b>CAPITAL &amp; SURPLUS ACCOUNT</b>			
33. Capital and surplus prior reporting year.....	229,269,056	204,395,859	204,395,859
34. Net income or (loss) from Line 32 .....	(7,115,019)	7,553,039	8,742,684
35. Change in valuation basis of aggregate policy and claim reserves .....		0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ .....	1,072,126	(6,023,172)	(941,600)
37. Change in net unrealized foreign exchange capital gain or (loss) .....		0	0
38. Change in net deferred income tax .....		0	0
39. Change in nonadmitted assets .....	12,545,968	9,198,818	15,333,979
40. Change in unauthorized and certified reinsurance .....	0	0	0
41. Change in treasury stock .....	0	0	0
42. Change in surplus notes .....	0	0	0
43. Cumulative effect of changes in accounting principles .....		0	0
44. Capital Changes:			
44.1 Paid in .....		0	0
44.2 Transferred from surplus (Stock Dividend) .....		0	0
44.3 Transferred to surplus .....		0	0
45. Surplus adjustments:			
45.1 Paid in .....		0	0
45.2 Transferred to capital (Stock Dividend) .....	0	0	0
45.3 Transferred from capital .....		0	0
46. Dividends to stockholders .....		0	0
47. Aggregate write-ins for gains or (losses) in surplus .....	0	(405,806)	1,738,133
48. Net change in capital and surplus (Lines 34 to 47) .....	6,503,075	10,322,879	24,873,197
49. Capital and surplus end of reporting period (Line 33 plus 48)	235,772,131	214,718,738	229,269,056
<b>DETAILS OF WRITE-INS</b>			
4701. Additional Pension Liability.....		0	1,453,653
4702. Additional Retiree Health Benefits.....		0	516,423
4703. Additional SERP Liability.....		0	173,863
4798. Summary of remaining write-ins for Line 47 from overflow page .....	0	(405,806)	(405,806)
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)	0	(405,806)	1,738,133

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
<b>Cash from Operations</b>			
1. Premiums collected net of reinsurance.....	1,124,693,595	1,447,309,333	1,833,554,847
2. Net investment income .....	11,691,408	3,325,447	5,123,262
3. Miscellaneous income .....	533,963	834,345	719,045
4. Total (Lines 1 to 3) .....	1,136,918,967	1,451,469,125	1,839,397,155
5. Benefit and loss related payments .....	1,016,658,568	1,219,959,060	1,624,325,110
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions .....	131,334,994	130,045,574	171,306,840
8. Dividends paid to policyholders .....	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital gains (losses).....	0	0	0
10. Total (Lines 5 through 9) .....	1,147,993,562	1,350,004,634	1,795,631,950
11. Net cash from operations (Line 4 minus Line 10) .....	(11,074,595)	101,464,491	43,765,205
<b>Cash from Investments</b>			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds .....	31,008,471	24,757,211	29,588,216
12.2 Stocks .....	46,624,288	50,639	53,653
12.3 Mortgage loans .....	0	0	0
12.4 Real estate .....	0	0	0
12.5 Other invested assets .....	12,097,730	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....	0	0	0
12.7 Miscellaneous proceeds .....	0	259,754	488,887
12.8 Total investment proceeds (Lines 12.1 to 12.7) .....	89,730,489	25,067,605	30,130,756
13. Cost of investments acquired (long-term only):			
13.1 Bonds .....	78,529,210	25,630,400	31,095,517
13.2 Stocks .....	12,190,259	1,047,807	16,628,824
13.3 Mortgage loans .....	0	0	0
13.4 Real estate .....	0	0	0
13.5 Other invested assets .....	0	0	0
13.6 Miscellaneous applications .....	467,817	0	0
13.7 Total investments acquired (Lines 13.1 to 13.6) .....	91,187,285	26,678,207	47,724,342
14. Net increase (or decrease) in contract loans and premium notes .....	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....	(1,456,796)	(1,610,602)	(17,593,586)
<b>Cash from Financing and Miscellaneous Sources</b>			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes .....	0	0	0
16.2 Capital and paid in surplus, less treasury stock.....	0	0	0
16.3 Borrowed funds .....	(3,750,000)	(3,754,615)	(5,004,615)
16.4 Net deposits on deposit-type contracts and other insurance liabilities .....	0	0	0
16.5 Dividends to stockholders .....	0	0	0
16.6 Other cash provided (applied).....	(22,975,941)	24,292,158	38,143,925
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6).....	(26,725,941)	20,537,543	33,139,311
<b>RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS</b>			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) .....	(39,257,333)	120,391,432	59,310,930
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year.....	266,075,949	206,765,019	206,765,019
19.2 End of period (Line 18 plus Line 19.1) .....	226,818,616	327,156,451	266,075,949

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefits Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year .....	257,944	14,295	167,150	.0	.0	.0	15,939	60,560	.0	.0
2. First Quarter .....	189,537	4,625	111,709	.0	.0	.0	15,417	57,786	.0	.0
3. Second Quarter .....	186,746	4,457	109,337	.0	.0	.0	15,258	57,694	.0	.0
4. Third Quarter .....	183,728	4,225	106,624				15,165	57,714		
5. Current Year	0									
6. Current Year Member Months	1,691,132	41,443	991,899				137,926	519,864		
Total Member Ambulatory Encounters for Period:										
7. Physician .....	917,326	12,230	412,409				72,672	420,015		
8. Non-Physician .....	1,087,556	13,233	451,115				84,689	538,519		
9. Total	2,004,882	25,463	863,524	0	0	0	157,361	958,534	0	0
10. Hospital Patient Days Incurred	116,197	691	23,554				3,275	88,677		
11. Number of Inpatient Admissions	20,400	141	5,385				749	14,125		
12. Health Premiums Written (a).....	1,106,340,381	11,241,341	505,518,323				83,292,555	506,288,163		
13. Life Premiums Direct.....	.0									
14. Property/Casualty Premiums Written .....	.0									
15. Health Premiums Earned .....	1,106,340,381	11,241,341	505,518,323				83,292,555	506,288,163		
16. Property/Casualty Premiums Earned .....	.0									
17. Amount Paid for Provision of Health Care Services .....	1,017,583,090	10,561,398	489,110,869				52,314,079	465,596,744		
18. Amount Incurred for Provision of Health Care Services	982,802,265	6,056,505	423,648,834				79,127,927	473,968,999		

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 506,288,163

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

## CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

[illegible]



UNDERWRITING AND INVESTMENT EXHIBIT  
ANALYSIS OF CLAIMS UNPAID-PRIOR YEAR-NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5  Claims Incurred in Prior Years (Columns 1 + 3)	6  Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1  On Claims Incurred Prior to January 1 of Current Year	2  On Claims Incurred During the Year	3  On Claims Unpaid Dec. 31 of Prior Year	4  On Claims Incurred During the Year		
1. Comprehensive (hospital and medical) .....	104,474,070	392,316,556	8,389,413	48,215,969	112,863,483	116,562,079
2. Medicare Supplement .....					0	0
3. Dental only .....					0	0
4. Vision only .....					0	0
5. Federal Employees Health Benefits Plan .....	9,212,373	43,101,706	2,235,364	29,862,947	11,447,737	5,284,463
6. Title XVIII - Medicare .....	63,135,986	402,460,758	(3,700,362)	61,754,430	59,435,624	54,912,999
7. Title XIX - Medicaid .....					0	0
8. Other health .....					0	0
9. Health subtotal (Lines 1 to 8).....	176,822,429	837,879,020	6,924,415	139,833,346	183,746,844	176,759,541
10. Health care receivables (a) .....	352,161			9,766,287	352,161	10,118,448
11. Other non-health .....					0	0
12. Medical incentive pools and bonus amounts .....	2,309,278		3,593,488	678,702	5,902,766	8,699,078
13. Totals (Lines 9-10+11+12)	178,779,546	837,879,020	10,517,903	130,745,761	189,297,449	175,340,171

(a) Excludes \$ ..... loans or advances to providers not yet expensed.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Accounting Practices - The accompanying financial statements of Health Alliance Plan of Michigan (the Corporation) have been prepared in accordance with the *NAIC Accounting Practices and Procedures Manual (NAPPM)* and the NAIC Annual and Quarterly Statement Instructions (NASI) to the extent that these accounting practices, procedures and reporting standards are not modified by accounting practices prescribed or permitted by the Michigan Department of Insurance and Financial Services (DIFS).

DIFS recognizes only statutory accounting practices prescribed or permitted by the State of Michigan for determining the financial condition and results of operation of an insurance company. The *NAPPM* has been adopted as a component of prescribed or permitted practices by DIFS.

A reconciliation of the Corporation’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the State of Michigan are shown below:

	<u>SSAP #</u>	<u>F/S Page</u>	<u>F/S Line #</u>	<u>2018</u>	<u>2017</u>
NET INCOME					
(1) HAP state basis (Page 4, Line 32, Columns 2 & 3)	XXX	XXX	XXX	(\$7,115,000)	\$8,743,000
(2) State Prescribed Practices that increase/(decrease) NAIC SAP: NONE					
(3) State Permitted Practices that increase/(decrease) NAIC SAP: NONE					
(4) NAIC SAP (1-2-3=4)	XXX	XXX	XXX	<u>(\$7,115,000)</u>	<u>\$8,743,000</u>
SURPLUS					
(5) HAP state basis (Page 3, Line 33, Columns 3 & 4)	XXX	XXX	XXX	\$235,772,000	\$229,269,000
(6) State Prescribed Practices that increase/(decrease) NAIC SAP: NONE					
(7) State Permitted Practices that increase/(decrease) NAIC SAP: NONE					
(8) NAIC SAP (5-6-7=8)	XXX	XXX	XXX	<u>\$235,772,000</u>	<u>\$229,269,000</u>

B. Use of Estimates in the Preparation of the Financial Statements – No significant change.

C. Accounting Policy – No significant changes except as follows.

(6) Loan-Backed Securities - loan backed securities are stated at either amortized cost or the lower of amortized cost or fair value unless prescribed otherwise by the NAIC. The retrospective method is used to value all securities. Amortized cost is determined utilizing the scientific interest method.

D. Going Concern

Management does not consider there to be any present conditions or events that would raise substantial doubt about the Corporation’s ability to continue as a going concern.

2. ACCOUNTING CHANGES AND CORRECTIONS OF ERRORS

Not applicable.

3. BUSINESS COMBINATIONS AND GOODWILL

A. Statutory Purchase method - No significant change.

B. Statutory Merger – Not applicable.

C. Assumption Reinsurance – Not applicable.

D. Impairment Loss – Not applicable.

4. DISCONTINUED OPERATIONS

Not applicable.

**STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan**  
**NOTES TO FINANCIAL STATEMENTS**

**5. INVESTMENTS**

- A. Mortgage Loans, including Mezzanine Real Estate Loans - Not applicable.
- B. Debt Restructuring - Not applicable.
- C. Reverse Mortgages - Not applicable.
- D. Loan-Backed Securities
  - (1) Prepayment assumptions for loan-backed and asset backed securities are obtained from broker dealer survey values.
  - (2) - (3) Securities with a recognized other-than-temporary-impairment (OTTI): Not applicable.
  - (4) Securities for which an other-than-temporary impairment has not been recognized in earnings as a realized loss:
    - a. The aggregate amount of unrealized losses:
      - 1. Less than 12 months \$642,000.
      - 2. 12 months or longer \$ 332,000.
    - b. The aggregate related fair value of securities with unrealized losses:
      - 1. Less than 12 months \$71,158,000.
      - 2. 12 months or longer \$ 12,137,000.
  - (5) In considering whether an investment is other-than-temporarily impaired, management considers its ability and intent to hold the investment, the severity of the decline in fair value and the duration of the impairment, among other factors. Management has determined that it has the ability and intent to hold indefinitely the investments and that the severity and duration of any impairments are insufficient to indicate an other-than-temporary impairment.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions – Not applicable.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowings – Not applicable.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowings – Not applicable.
- H. Repurchase Agreements Transactions Accounted for as a Sale – Not applicable.
- I. Reverse Repurchase Agreements Transactions Accounted for as a Sale – Not applicable.
- J. Real Estate - Not applicable.
- H. Investments in Low-Income Housing Tax Credits - Not applicable.
- L. Restricted Assets – No significant change.
- M. Working Capital Finance Investments – Not applicable.
- N. Offsetting and Netting of Assets and Liabilities – Not applicable.
- O. Structured Notes – No significant change.
- P. 5\* Securities – No significant change.
- Q. Short Sales – Not applicable.
- R. Prepayment Penalties and Acceleration Fees – No significant change.

**6. JOINT VENTURES, PARTNERSHIPS AND LIMITED LIABILITY COMPANIES**

The Corporation no longer has holdings in Sky Harbor High Yield Partners, LP as of June 30, 2018.

**7. INVESTMENT INCOME**

Not applicable.

**8. DERIVATIVE INSTRUMENTS**

Not applicable.

**9. INCOME TAXES**

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

10. INFORMATION CONCERNING PARENT, SUBSIDIARIES AND AFFILIATES

No significant change.

11. DEBT

No significant change.

12. RETIREMENT PLANS, DEFERRED COMPENSATION, POSTEMPLOYMENT BENEFITS AND COMPENSATED ABSENCES AND OTHER POSTRETIREMENT BENEFIT PLANS

No significant change except for footnote 12A (4).

A. Defined Benefit Plan

(4) Components of net periodic benefit cost

	Pension Benefits		Postretirement Benefits		Postemployment & Compensated Absence Benefits	
	2018	2017	2018	2017	2018	2017
a. Service cost	\$1,162	\$3,152	\$12	\$112		
b. Interest cost	2,563	3,724	27	111		
c. Expected return on plan assets	(3,844)	(4,767)				
d. Transition asset or obligation	145	603	(14)	(14)	N/A	N/A
e. Gains and losses	1,328	2,327				
f. Prior service cost or credit	(1)	(1,355)	(13)	(923)		
g. Gain or loss recognized due to a settlement or curtailment	3,562	(233)				
h. Total net periodic benefit cost	\$4,915	\$3,451	\$12	(\$714)		

13. CAPITAL AND SURPLUS, SHAREHOLDERS' DIVIDEND RESTRICTIONS AND QUASI-REORGANIZATIONS

- 1. Capital Stock - Not applicable.
- 2. Preferred Stock - Not applicable.
- 3. Dividend Restrictions - No significant change.
- 4. Dividends Paid – Not applicable.
- 5. Portion of Company's profits that may be paid as ordinary dividends - No significant change.
- 6. Restrictions on unassigned funds (surplus) - Not applicable.
- 7. Advances to surplus not repaid - Not applicable.
- 8. Total amount of stock held by the Company for special purposes - Not applicable.
- 9. Changes in special surplus funds from the prior year - due to the moratorium on the annual fee under section 9010 of the Affordable Care Act that is due in 2019, no special surplus funds have been appropriated for 2018.
- 10. The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses - No significant change.
- 11. Surplus Notes - Not applicable.
- 12. The impact of any restatement due to a quasi-reorganization - Not applicable.
- 13. The effective date of any quasi-reorganization - Not applicable.

14. LIABILITIES, CONTINGENCIES AND ASSESSMENTS

- A. Contingent Commitments - Not applicable.
- B. Assessments – No significant change.

**STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan**  
**NOTES TO FINANCIAL STATEMENTS**

C. Gain Contingencies - Not applicable.

D. Claims Related Extra Contractual Obligation and Bad Faith Losses Stemming from Lawsuits - Not applicable.

E. Joint and Several Liabilities – Not applicable.

F. All Other Contingencies

***Risk Adjustment Data Validation Audits (“RADV audits”).*** CMS adjusts capitation payments to Medicare Advantage and Medicare Part D plans according to the predicted health status of each beneficiary, as supported by data provided by health care providers. The Corporation collects claim and encounter data from providers, who the Corporation generally relies on to appropriately code their claim submissions and document their medical records. CMS then determines the risk score and payment amount for each enrolled member based on the health care data submitted by the Corporation and member demographic information.

CMS performs RADV audits of selected Medicare Advantage health plans each year to validate the coding practices of and supporting documentation maintained by health care providers. These audits involve a review of medical records maintained by providers and may result in retrospective adjustments to payments made to health plans. The Corporation was selected for audit by CMS for payment year 2012 for MA and is participating in the 2015 audit for ACA.

On February 24, 2012, CMS published its final payment error calculation methodology for Medicare Advantage RADV audits. CMS will begin applying the final methodology for audits of the 2011 payment year. Among other things, the final methodology includes fee-for-service adjuster, which would limit our liability to an error rate in excess of CMS’s own fee-for-service error rate.

The Corporation is currently involved in CMS RADV audits related to its Medicare Advantage and ACA programs. All requested information has been provided to CMS. The Corporation has not received any information from CMS subsequent to the initial data submission.

The Corporation cannot reasonably estimate the range of loss, if any, that may result from these audits given the status of the audits, the wide range of possible outcomes and the inherent difficulty in predicting regulatory action, fines and penalties.

The Corporation has various remedies available in the event of an adverse finding.

**15. LEASES**

No significant change.

**16. INFORMATION ABOUT FINANCIAL INSTRUMENTS WITH OFF-BALANCE SHEET RISK  
AND FINANCIAL INSTRUMENTS WITH CONCENTRATIONS OF CREDIT RISK**

Not applicable.

**17. SALE, TRANSFER AND SERVICING OF FINANCIAL ASSETS AND EXTINGUISHMENTS  
OF LIABILITIES**

Not applicable.

**18. GAIN OR LOSS TO THE REPORTING ENTITY FROM UNINSURED PLANS AND  
THE UNINSURED PORTION OF PARTIALLY UNINSURED PLANS**

A. ASO Plans - Not applicable.

B. ASC Plans - Not applicable.

C. Medicare or Similarly Structured Cost Based Reimbursement Contract - No significant change.

**19. DIRECT PREMIUM WRITTEN/PRODUCED BY MANAGING GENERAL AGENTS/THIRD  
PARTY ADMINISTRATORS**

Not applicable.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

20. FAIR VALUE MEASUREMENT

A.

(1) Fair Value Measurements at Reporting Date

Description	(Level 1)	(Level 2)	(Level 3)	Total
a. Assets at Fair Value				
Bonds:				
Industrial and Misc.		17,000		17,000
Total Bonds	0	17,000		17,000
Equity Securities:				
Industrial and Misc.		12,195,000		12,195,000
Other Equity Securities	2,016,000	6,000		2,022,000
Total Common Stocks	2,016,000	12,201,000		14,217,000
Cash Equivalents:				
U.S. Governments	227,705,000	1,534,000		229,239,000
Total Cash Equivalents	227,705,000	1,534,000		229,239,000
Total Assets at Fair Value	\$229,721,000	\$13,752,000		\$243,473,000

(2) The Corporation has no fair value measurements categorized within Level 3 of the fair value hierarchy.

(3) The Corporation's policy for recognition of transfers between levels within the fair value hierarchy is to recognize the transfer on the actual date of the event or change in circumstances that caused the transfer. The Corporation had no transfers between levels.

(4) The fair value measurements categorized within Level 2 of the fair value hierarchy reported by the Corporation are obtained primarily from independent pricing services and broker dealer quotes. Mortgage backed securities are submitted to the NAIC's Securities Valuation Office for modeling/valuation.

(5) Derivative assets and liabilities – Not applicable.

B. Fair value information and information about other similar measurements disclosed under other accounting pronouncements combined with disclosures under SSAP No. 100, Fair Value Measurements - Not applicable.

C. Aggregate fair values of financial instruments and applicable levels within the fair value hierarchy

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets/ Liabilities	(Level 1)	(Level 2)	(Level 3)	Not Practicable Carrying Value
Cash Equivalents	229,239,000	229,239,000	227,705,000	1,534,000		n/a
Bonds	105,652,000	106,525,000		105,652,000		
Common Stock	14,217,000	14,217,000	2,016,000	12,201,000		n/a

D. Financial instruments or classes of financial instruments for which fair value measurements are not determinable - Not applicable.

21. OTHER ITEMS

A. Extraordinary Items - Not applicable.

B. Troubled Debt Restructuring: Debtors - Not applicable.

C. Other Disclosures and Unusual Items - No significant change.

D. Business Interruption Insurance Recoveries – Not applicable.

E. State Transferable and Non-transferable Tax Credits - Not applicable.

F. Subprime-Mortgage Related Risk Exposure – Not applicable.

G. Retained Assets – Not applicable.

H. Insurance-Linked Securities – Not applicable.

**STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan**  
**NOTES TO FINANCIAL STATEMENTS**

**22. EVENTS SUBSEQUENT**

Not applicable.

**23. REINSURANCE**

No significant change.

**24. RETROSPECTIVELY RATED CONTRACTS & CONTRACTS SUBJECT TO REDETERMINATION**

A – D. No significant change.

E. Risk-Sharing Provisions of the Affordable Care Act (ACA)

(1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk-sharing provisions (YES/NO)? YES

(2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year

a. Permanent ACA Risk Adjustment Program

Assets

1. Premium adjustments receivable due to ACA Risk Adjustment \$ \_\_\_\_\_  
(including high risk pool payments)

Liabilities

2. Risk adjustment user fees payable for ACA Risk Adjustment \$ 62,000  
3. Premium adjustments payable due to ACA Risk Adjustment \$ 2,309,000  
(including high risk pool premium)

Operations (Revenue and Expense)

4. Reported as revenue in premium for accident and health contracts (written/collected) due to ACA Risk Adjustment \$ (286,000)  
5. Reported in expenses as ACA risk adjustment user fees (incurred/paid) \$ None.

b. Transitional ACA Reinsurance Program

Assets

1. Amounts recoverable for claims paid due to ACA Reinsurance \$ None.  
2. Amounts recoverable for claims unpaid due to ACA Reinsurance (Contra Liability) \$ None.  
3. Amounts receivable relating to uninsured plans for contributions for ACA Reinsurance \$ None.

Liabilities

4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium \$ None.  
5. Ceded reinsurance premiums payable due to ACA Reinsurance \$ None.  
6. Liabilities for amounts held under uninsured plans contributions for ACA reinsurance \$ None.

Operations (Revenue and Expense)

7. Ceded reinsurance premiums due to ACA Reinsurance \$ None.  
8. Reinsurance recoveries (income statement) due to ACA Reinsurance payments or expected \$ 220,000.  
9. ACA Reinsurance contributions – not reported as ceded premium \$ None.

c. Temporary ACA Risk Corridors Program

Assets

1. Accrued retrospective premium due to ACA Risk Corridors \$ None.

Liabilities

2. Reserve for rate credits or policy experience rating refunds due to ACA Risk Corridors \$ None.

Operations (Revenue and Expense)

3. Effect of ACA Risk Corridors on net premium income (paid/received) \$ None.  
4. Effect of ACA Risk Corridors on change in reserves for rate credits \$ None.

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

(3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Accr During the Prior Yr on Bus Written  Before Dec 31 of the Prior Year		Rec'd or Paid as of the Curr Yr on Business  Written Before Dec 31 of the Prior Yr		Differences		Adjustments			Unsettled Bals as of Reporting Date	
				Prior Year Accr Less Payments (Col 1-3)	Prior Year Accr Less Payments (Col 2-4)	To Pr Year Balances	To Pr Year Balances	Ref	Cumulative Bal from Pr Years (Col 1-3+7)	Cumulative Bal from Pr Years (Col 2-4+8)
1	2	3	4	5	6	7	8			9
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. Permanent ACA Risk Adjustment Program										
1. Premium adjustment receivable (including high risk pool payments)				0				A	0	
2. Premium adjustments (payable) (including high risk pool premium)				0				B		0
3. Subtotal ACA Permanent Risk Adjustment program				0	0	0	0	0	0	0
b. Transitional ACA Reinsurance Program										
1. Amounts recoverable for claims paid				243,000		220,000	23,000		C	23,000
2. Amounts recoverable for claims unpaid (contra liability)				0				D		0
3. Amounts receivable relating to uninsured plans								E		
4. Liabilities for contributions payable due to ACA Reinsurance - not reported as ceded premium				0				F		0
5. Ceded reinsurance premiums payable				0				G		0
6. Liability for amounts hold under uninsured plans								H		
7. Subtotal ACA Transitional Reinsurance Program				243,000	0	220,000	0	23,000	0	23,000
c. Temporary ACA Risk Corridors Program										
1. Accrued retrospective premium				484,000		484,000	(484,000)		I	0
2. Reserve for rate credits or policy experience rating refunds				0				J		0
3. Subtotal ACA Risk Corridors Program				484,000	0	0	484,000	0	(484,000)	0
d. Total for ACA Risk Sharing Provisions				727,000	0	220,000	0	507,000	0	23,000
Explanations of Adjustments										
A. None.										
B. None.										
C. None.										
D. None										
E. None										
F. None										
G. None										
H. None										
I. Amount accrued/recognized has been fully reserved.										
J. None.										

(4) Roll-Forward of Risk Corridors Asset and Liability Balances by Program Benefit Year

Accr During the Prior Yr on Bus Written  Before Dec 31 of the Prior Year		Rec'd or Paid as of the Curr Yr on Business  Written Before Dec 31 of the Prior Yr		Differences		Adjustments			Unsettled Bals as of Reporting Date	
				Prior Year Accr Less Payments (Col 1-3)	Prior Year Accr Less Payments (Col 2-4)	To Pr Year Balances	To Pr Year Balances	Ref	Cumulative Bal from Pr Years (Col 1-3+7)	Cumulative Bal from Pr Years (Col 2-4+8)
1	2	3	4	5	6	7	8			9
Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)	Receivable	(Payable)		Receivable	(Payable)
a. 2015										
1. Accrued retrospective premium								A		
2. Reserve for rate credits or policy experience rating refunds								B		
b. 2016										
1. Accrued retrospective premium		484,000		484,000		(484,000)		C	0	
2. Reserve for rate credits or policy experience rating refunds								D		
c. 2017										
1. Accrued retrospective premium		0						E	0	
2. Reserve for rate credits or policy experience rating refunds								F		
d. Total for Risk Corridors		484,000		484,000		(484,000)			0	
C. Amount accrued/recognized has been fully reserved.										



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

NOTES TO FINANCIAL STATEMENTS

(5) ACA Risk Corridors Receivable as of Reporting Date

Risk Corridors Program Year	1 Estimated Amount to be Filed or Final Amount Filed with CMS	2 Nonaccrued Amounts for Impairment or Other Reasons	3 Amounts received from CMS	4 Asset Balance (Gross of Nonadmissions) (1-2-3)	5 Nonadmitted Amount	6 Net Admitted Asset (4-5)
a. 2015						
b. 2016	484,000	484,000				
c. 2017				0	0	0
d. Total (a+b+c)	484,000	484,000	0	0	0	0

The Corporation has accrued/recognized \$484,000 for Risk Corridor from CMS, however, it has elected to fully reserve the receivable as collectability is uncertain.

25. CHANGE IN INCURRED CLAIMS AND CLAIM ADJUSTMENT EXPENSES

Claims and claims adjustment expense reserves as of December 31, 2017 were \$178,648,000. As of September 30, 2018 \$169,048,000 has been paid for incurred claims and claims adjustment expenses attributable to insured events of prior years. Claims and claims adjustment expense reserves remaining for prior years are now \$7,034,000. Changes in actuarial estimates of reserves attributable to insured events of prior years’ reflect revisions in estimates of medical cost trends and changes in claims processing patterns.

26. INTERCOMPANY POOLING ARRANGEMENTS

Not applicable.

27. STRUCTURED SETTLEMENTS

Not applicable.

28. HEALTH CARE RECEIVABLES

A. Pharmaceutical Rebate Receivables - No significant change.

B. Risk Sharing Receivables – No significant change

29. PARTICIPATING POLICIES

Not applicable.

30. PREMIUM DEFICIENCY RESERVES

Not applicable.

31. ANTICIPATED SALVAGE AND SUBROGATION

Not applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES  
GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐

If yes, complete Schedule Y, Parts 1 and 1A.
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☒ No ☐
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.  
A non-insurance affiliate, Metropolitan Detroit Area Hospital Services, Inc. has been added to the org chart and HFHS Employment, LLC, a non-insurance affiliate, has been dissolved and PHO of Mercy, a non-insurance affiliate, was restructured
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes ☐ No ☒
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒
- 4.2

If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes ☐ No ☐ NA ☒
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/28/2017
- 6.4

By what department or departments?  
The Michigan Department of Insurance and Financial Services
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☐ No ☐ NA ☒
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ NA ☐
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes ☐ No ☒
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes ☐ No ☒
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.]

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? ..... Yes [X] No [ ]

(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;

(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;

(c) Compliance with applicable governmental laws, rules and regulations;

(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and

(e) Accountability for adherence to the code.

9.11 If the response to 9.1 is No, please explain: .....

9.2 Has the code of ethics for senior managers been amended? ..... Yes [ ] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s). .....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? ..... Yes [ ] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s). .....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?..... Yes [X] No [ ]

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount:.....\$ .....0

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) ..... Yes [ ] No [X]

11.2 If yes, give full and complete information relating thereto: .....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: .....\$ .....0

13. Amount of real estate and mortgages held in short-term investments: .....\$ .....0

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? ..... Yes [X] No [ ]

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds .....	\$ .....	\$ .....
14.22 Preferred Stock .....	\$ .....	\$ .....
14.23 Common Stock .....	\$ .....93,362,190	\$ .....
14.24 Short-Term Investments .....	\$ .....	\$ .....
14.25 Mortgage Loans on Real Estate .....	\$ .....	\$ .....
14.26 All Other .....	\$ .....	\$ .....
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26).....	\$ .....93,362,190	\$ .....0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....	\$ .....	\$ .....

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? ..... Yes [ ] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? ..... Yes [ ] No [ ]

If no, attach a description with this statement.

GENERAL INTERROGATORIES

16 For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1	Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.2	Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2	\$.....0
16.3	Total payable for securities lending reported on the liability page	\$.....0

17. Excluding items in Schedule E – Part 3 – Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III – General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC *Financial Condition Examiners Handbook*? ..... Yes [X] No [ ]

17.1 For all agreements that comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, complete the following:

1 Name of Custodian(s)	2 Custodian Address
Comerica Bank, NA.....	Detroit, Michigan.....
The Huntington National Bank.....	Columbus, Ohio.....
The Northern Trust Company.....	Chicago, Illinois.....

17.2 For all agreements that do not comply with the requirements of the NAIC *Financial Condition Examiners Handbook*, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)
Not applicable.....	.....	.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? ..... Yes [ ] No [X]

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason
Not applicable.....	.....	.....	.....

17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
Doubleline Capital LP.....	U.....
Income Research and Management.....	U.....
J.P. Morgan Investment Management Inc.....	U.....
Sky Harbor Capital Management LLC.....	U.....
Blackrock Advisors LLC.....	U.....
Garcia Hamilton and Associates, L.P.....	U.....
Artisan Partners Limited Partnership.....	U.....
The Dreyfus Corporation.....	U.....
Goldman Sachs Asset Management LP.....	U.....
Fidelity Management and Research Company.....	U.....
Wells Fargo Funds Management LLC.....	U.....
The Huntington National Bank.....	U.....
The Northern Trust Company.....	U.....
Comerica Bank, NA.....	U.....
Comerica Securities, Inc.....	U.....
Robin Damschroder.....	A.....
Richard Swift.....	I.....
Hendrik Schuur.....	A.....
Kelly English.....	A.....
Derek Kellam.....	A.....
Leslie Hardy.....	A.....
New England Pension Consultants.....	U.....
.....	.....
.....	.....

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? ..... Yes [ X ] No [ ]

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's assets? ..... Yes [ X ] No [ ]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
152606.....	Doubleline Capital LP.....	549300LT1A929K45DK50.....	.....	NO.....
104863.....	Income Research and Management.....	Not Applicable.....	.....	NO.....

GENERAL INTERROGATORIES

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
107038.....	J.P. Morgan Investment Management Inc.....	549300Q7485FUJKEMM46.....	SEC.....	NO.....
159020.....	Sky Harbor Capital Management LLC.....	5493001K4T43F1W5NI61.....	.....	NO.....
106614.....	Blackrock Advisors LLC.....	WMEVRQ7LCLDEFWERG149.....	SEC.....	NO.....
108017.....	Garcia Hamilton and Associates, L.P.....	2549004MW20AB6054P40.....	SEC.....	DS.....
80170101.....	Artisan Partners Limited Partnership.....	H441S2FJ0USVWTYA1B25.....	SEC.....	NO.....
105642.....	The Dreyfus Corporation.....	54930067A504FBYASH16.....	SEC.....	NO.....
107738.....	Goldman Sachs Asset Management LP.....	CF5M58QA35CFPUX70H17.....	SEC.....	NO.....
108281.....	Fidelity management and Research Company.....	5493001Z012YSB2A0K51.....	SEC.....	NO.....
110841.....	Wells Fargo Funds Management LLC.....	549300ZYNVRO67EZFC38.....	SEC.....	NO.....
.....	The Huntington National Bank.....	.....	.....	NO.....
.....	The Northern Trust Company.....	.....	.....	NO.....
N/A.....	Comerica Bank, NA.....	70WY01D1N53Q4254VH70.....	FDIC.....	NO.....
17079.....	Comerica Securities, Inc.....	.....	SEC.....	NO.....
N/A.....	Robin Damschroder.....	Not Applicable.....	Not Applicable.....	.....
N/A.....	Richard Swift.....	Not Applicable.....	Not Applicable.....	.....
N/A.....	Hendrik Schuur.....	Not Applicable.....	Not Applicable.....	.....
N/A.....	Kelly English.....	Not Applicable.....	Not Applicable.....	.....
N/A.....	Derek Kellam.....	Not Applicable.....	Not Applicable.....	.....
N/A.....	Leslie Hardy.....	Not Applicable.....	Not Applicable.....	.....
N/A.....	New England Pension Consultants.....	.....	.....	NO.....
.....	.....	.....	.....	.....
.....	.....	.....	.....	.....

18.1 Have all the filing requirements of the *Purposes and Procedures Manual of the NAIC Investment Analysis Office* been followed? ..... Yes [X] No [ ]

18.2 If no, list exceptions:  
.....

19. By self-designating 5\*GI securities, the reporting entity is certifying the following elements for each self-designated 5\*GI security:

- a. Documentation necessary to permit a full credit analysis of the security does not exist.
- b. Issuer or obligor is current on all contracted interest and principal payments.
- c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5\*GI securities?..... Yes [ ] No [X]

GENERAL INTERROGATORIES  
PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

90.1 %

1.2 A&H cost containment percent

1.2 %

1.3 A&H expense percent excluding cost containment expenses

11.5 %

2.1

Do you act as a custodian for health savings accounts?

Yes ☐ No ☒

2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

2.3

Do you act as an administrator for health savings accounts?

Yes ☐ No ☒

2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes ☐ No ☒

3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes ☐ No ☒

**STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan**

## SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

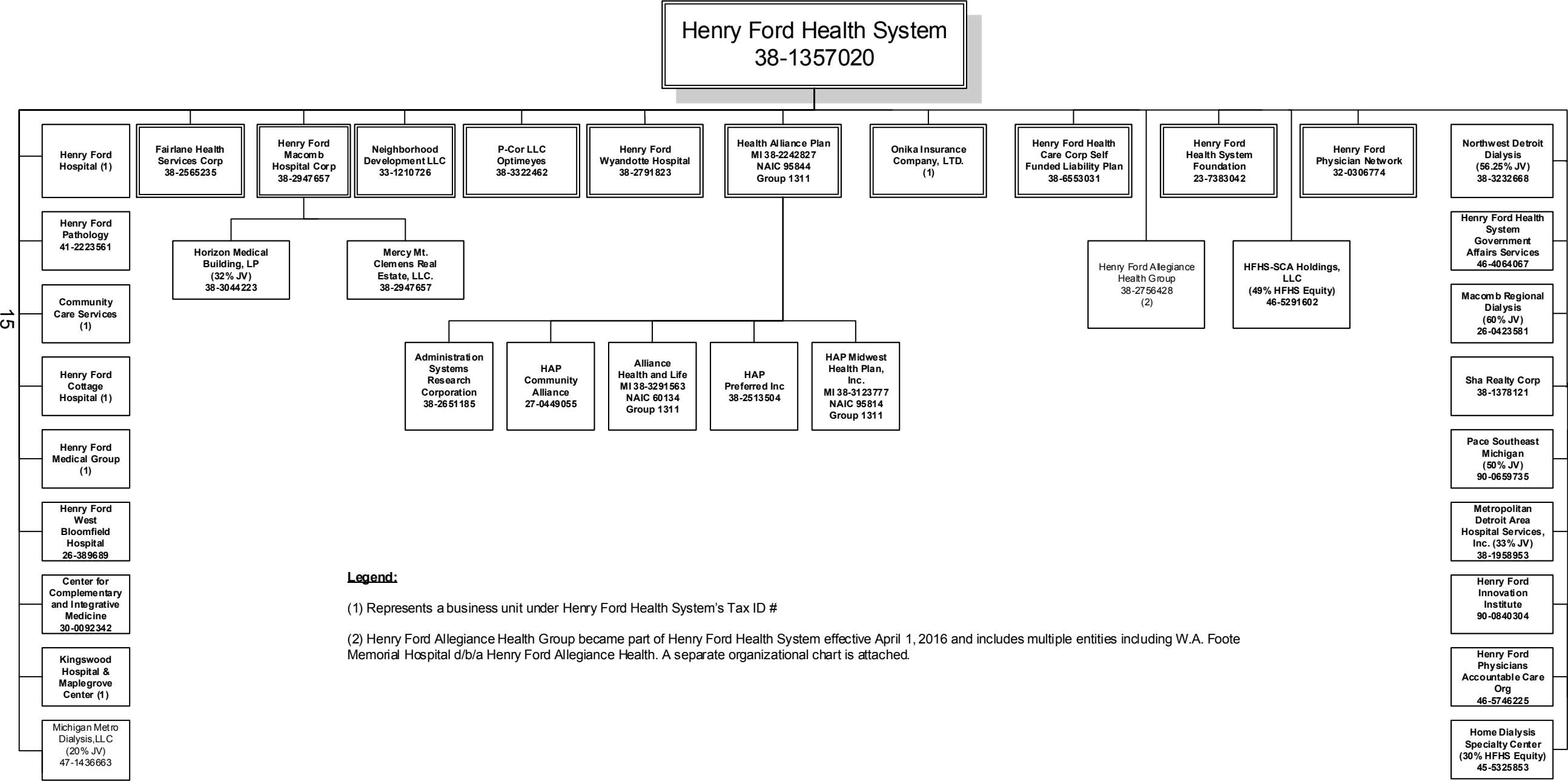
Current Year to Date - Allocated by States and Territories										
States, Etc.	1  Active Status (a)	Direct Business Only								
		2  Accident & Health Premiums	3  Medicare Title XVIII	4  Medicaid Title XIX	5  Federal Employees Health Benefits Program Premiums	6  Life & Annuity Premiums & Other Considerations	7  Property/ Casualty Premiums	8  Total Columns 2 Through 7	9  Deposit-Type Contracts	
1. Alabama .....	AL	N						0		
2. Alaska .....	AK	N						0		
3. Arizona .....	AZ	N						0		
4. Arkansas .....	AR	N						0		
5. California .....	CA	N						0		
6. Colorado .....	CO	N						0		
7. Connecticut .....	CT	N						0		
8. Delaware .....	DE	N						0		
9. Dist. Columbia .....	DC	N						0		
10. Florida .....	FL	N						0		
11. Georgia .....	GA	N						0		
12. Hawaii .....	HI	N						0		
13. Idaho .....	ID	N						0		
14. Illinois .....	IL	N						0		
15. Indiana .....	IN	N						0		
16. Iowa .....	IA	N						0		
17. Kansas .....	KS	N						0		
18. Kentucky .....	KY	N						0		
19. Louisiana .....	LA	N						0		
20. Maine .....	ME	N						0		
21. Maryland .....	MD	N						0		
22. Massachusetts .....	MA	N						0		
23. Michigan .....	MI	L	516,921,778	506,210,183	83,208,420			1,106,340,381		
24. Minnesota .....	MN	N						0		
25. Mississippi .....	MS	N						0		
26. Missouri .....	MO	N						0		
27. Montana .....	MT	N						0		
28. Nebraska .....	NE	N						0		
29. Nevada .....	NV	N						0		
30. New Hampshire .....	NH	N						0		
31. New Jersey .....	NJ	N						0		
32. New Mexico .....	NM	N						0		
33. New York .....	NY	N						0		
34. North Carolina .....	NC	N						0		
35. North Dakota .....	ND	N						0		
36. Ohio .....	OH	N						0		
37. Oklahoma .....	OK	N						0		
38. Oregon .....	OR	N						0		
39. Pennsylvania .....	PA	N						0		
40. Rhode Island .....	RI	N						0		
41. South Carolina .....	SC	N						0		
42. South Dakota .....	SD	N						0		
43. Tennessee .....	TN	N						0		
44. Texas .....	TX	N						0		
45. Utah .....	UT	N						0		
46. Vermont .....	VT	N						0		
47. Virginia .....	VA	N						0		
48. Washington .....	WA	N						0		
49. West Virginia .....	WV	N						0		
50. Wisconsin .....	WI	N						0		
51. Wyoming .....	WY	N						0		
52. American Samoa .....	AS	N						0		
53. Guam .....	GU	N						0		
54. Puerto Rico .....	PR	N						0		
55. U.S. Virgin Islands .....	VI	N						0		
56. Northern Mariana Islands .....	MP	N						0		
57. Canada .....	CAN	N						0		
58. Aggregate other alien .....	OT	XXX	0	0	0	0	0	0	0	0
59. Subtotal .....	XXX	516,921,778	506,210,183	0	83,208,420	0	0	1,106,340,381	0	0
60. Reporting entity contributions for Employee Benefit Plans .....	XXX							0		
61. Total (Direct Business) .....	XXX	516,921,778	506,210,183	0	83,208,420	0	0	1,106,340,381	0	0
DETAILS OF WRITE-INS										
58001. ....	XXX									
58002. ....	XXX									
58003. ....	XXX									
58998. Summary of remaining write-ins for Line 58 from overflow page. ....	XXX	0	0	0	0	0	0	0	0	0
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above) .....	XXX	0	0	0	0	0	0	0	0	0

(a) Active Status Counts

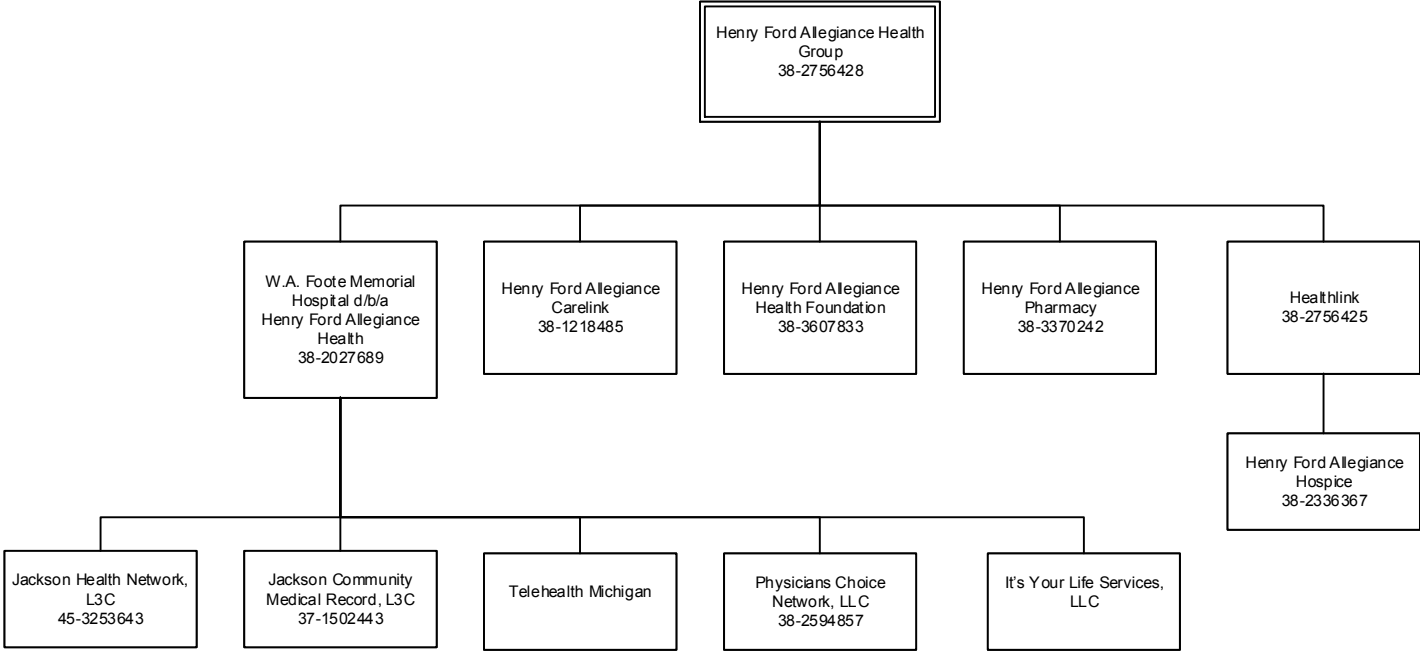
L – Licensed or Chartered – Licensed insurance carrier or domiciled RRG .....1 R – Registered – Non-domiciled RRGs .....0  
E – Eligible – Reporting entities eligible or approved to write surplus lines in the state .....0 Q – Qualified – Qualified or accredited reinsurer .....0  
N – None of the above – Not allowed to write business in the state .....56



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan  
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan  
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART



SCHEDULE Y  
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
01311	Henry Ford Health Systems Group	95844	38-2242827				Health Alliance Plan of Michigan	MI	RE	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2513504				HAP Preferred Inc		DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	Y	.0
01311	Henry Ford Health Systems Group	60134	38-3291563				Alliance Health and Life Insurance Company	MI	DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	N	.0
	Henry Ford Health Systems Group		38-2651185				Administration System Research Corporation		DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	Y	.0
	Henry Ford Health Systems Group		27-0449055				HAP Community Alliance		DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	N	.0
01311	Henry Ford Health Systems Group	95814	38-3123777				HAP Midwest Health Plan, Inc.	MI	DS	Health Alliance Plan of Michigan	Ownership	100.0	Henry Ford Health System	N	.0
	Henry Ford Health Systems Group		38-1357020				Henry Ford Health System		UDP			0.0			.0
	Henry Ford Health Systems Group		38-2791823				Henry Ford Wyandotte		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2947657				Henry Ford Macomb Hospital		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2947657				Mercy Mt. Clemens Real Estate, LLC		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2565235				Fairlane Health Services Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		33-1210726				Neighborhood Development LLC		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-1958953				Metropolitan Detroit Area Hospital Services, Inc		NIA	Henry Ford Health System	Ownership	33.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		90-0840304				Henry Ford Innovation Institute		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-6553031				Henry Ford Health Care Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		23-7383042				Self Funded Liability Plan		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		32-0306774				Henry Ford Health System Foundation		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-3232668				Henry Ford Physician Network		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		45-5325853				Northwest Detroit Dialysis Centers		NIA	Henry Ford Health System	Ownership	56.3	Henry Ford Health System		.0
	Henry Ford Health Systems Group		26-0423581				Home Dialysis Specialty Center		NIA	Henry Ford Health System	Ownership	30.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-1378121				Macomb Regional Dialysis Centers LLC		NIA	Henry Ford Health System	Ownership	60.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		90-0659735				Sha Realty Corp		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		26-3896897				Pace Southeast Michigan		NIA	Henry Ford Health System	Ownership	50.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-3322462				Henry Ford West Bloomfield		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group						P Cor, LLC (d/b/a Optimeyes)		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0

SCHEDULE Y  
PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
	Henry Ford Health Systems Group		41-2223561				Henry Ford Pathology		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		46-5746225				Henry Ford Physicians Accountable Care Org LLC		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		30-0092342				Center for Complementary and Integrative Medicine		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		46-4064067				Henry Ford Health Sys Government Affairs Services		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-3044223				Horizon Medical Building, LP		NIA	Henry Ford Health System	Ownership	32.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		46-5291602				HFHS-SCA Holdings, LLC		NIA	Henry Ford Health System	Ownership	49.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		47-1436663				Michigan Metro Dialysis, LLC		NIA	Henry Ford Health System	Ownership	20.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2756428				Henry Ford Allegiance Health Group		NIA	Henry Ford Health System	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2024689				Henry Ford Allegiance Health		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-1218485				Henry Ford Allegiance Carelink		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-3607833				Henry Ford Allegiance Health Foundation		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-3370242				Henry Ford Allegiance Pharmacy		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2756425				Healthlink		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		45-3253643				Jackson Health Network, L3C		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group						Telehealth Michigan		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2594857				Physicians Choice Network, LLC		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group						It's Your Life Services, LLC		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		38-2336367				Henry Ford Allegiance Hospice		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
	Henry Ford Health Systems Group		37-1502443				Jackson Community Medical Record, L3C		NIA	Henry Ford Allegiance Health Group	Ownership	100.0	Henry Ford Health System		.0
												.0			.0
												.0			.0
												.0			.0
												.0			.0
												.0			.0

**SCHEDULE Y**  
**PART 1A – DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

Asterisk	Explanation

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of **NO** to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter **SEE EXPLANATION** and provide an explanation following the interrogatory questions.

RESPONSE

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

.....SEE EXPLANATION.....

Explanation:

1. Health Alliance Plan offers Medicare Part D through a Medicare Advantage plan

Bar Code:

OVERFLOW PAGE FOR WRITE-INS

MQ003 Additional Aggregate Lines for Page 03 Line 23.  
\*LIAB

	1 Covered	2 Uncovered	3 Total	4 Total
2304. Liability for CMS Coverage Gap Discount Program.....			0	1,694,482
2305. Group Rating Adjustment.....			0	1,218,271
2306. ....			0	0
2397. Summary of remaining write-ins for Line 23 from Page 03	0	0	0	2,912,753

MQ005 Additional Aggregate Lines for Page 05 Line 47.  
\*REVEX2

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
4704. 2016 Audit Adjustment.....		(405,806)	(405,806)
4705. ....		0	0
4706. ....			
4797. Summary of remaining write-ins for Line 47 from Page 05	0	(405,806)	(405,806)

SCHEDULE A – VERIFICATION

Real Estate

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	3,196,744	3,771,648
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		.0
2.2 Additional investment made after acquisition .....		.0
3. Current year change in encumbrances .....		.0
4. Total gain (loss) on disposals .....		.0
5. Deduct amounts received on disposals .....		.0
6. Total foreign exchange change in book/adjusted carrying value .....		.0
7. Deduct current year's other-than-temporary impairment recognized .....		.0
8. Deduct current year's depreciation .....	506,641	574,904
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) .....	2,690,103	3,196,744
10. Deduct total nonadmitted amounts .....	101,598	127,787
11. Statement value at end of current period (Line 9 minus Line 10) .....	2,588,505	3,068,958

SCHEDULE B – VERIFICATION

Mortgage Loans

	1	2
	Year To Date	Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....	.0	.0
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		.0
2.2 Additional investment made after acquisition .....		.0
3. Capitalized deferred interest and other .....		.0
4. Accrual of discount .....		.0
5. Unrealized valuation increase (decrease) .....		.0
6. Total gain (loss) on disposals .....		.0
7. Deduct amounts received on disposals .....		.0
8. Deduct amortization of premium and mortgage interest points and commitment fees .....		.0
9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....		.0
10. Deduct current year's other-than-temporary impairment recognized .....		.0
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	.0	.0
12. Total valuation allowance .....		.0
13. Subtotal (Line 11 plus Line 12) .....	.0	.0
14. Deduct total nonadmitted amounts .....	.0	.0
15. Statement value at end of current period (Line 13 minus Line 14) .....	0	0

NONE

SCHEDULE BA – VERIFICATION

Other Long-Term Invested Assets

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year .....	11,989,181	11,439,901
2. Cost of acquired:		
2.1 Actual cost at time of acquisition .....		.0
2.2 Additional investment made after acquisition .....		.0
3. Capitalized deferred interest and other .....		.0
4. Accrual of discount .....		.0
5. Unrealized valuation increase (decrease) .....	(989,181)	549,280
6. Total gain (loss) on disposals .....	1,097,730	.0
7. Deduct amounts received on disposals .....	12,097,730	.0
8. Deduct amortization of premium and depreciation .....		.0
9. Total foreign exchange change in book/adjusted carrying value .....		.0
10. Deduct current year's other-than-temporary impairment recognized .....		.0
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) .....	.0	11,989,181
12. Deduct total nonadmitted amounts .....	.0	.0
13. Statement value at end of current period (Line 11 minus Line 12) .....	0	11,989,181

SCHEDULE D – VERIFICATION

Bonds and Stocks

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....	198,608,124	182,588,691
2. Cost of bonds and stocks acquired .....	90,719,469	47,724,342
3. Accrual of discount .....	155,931	78,992
4. Unrealized valuation increase (decrease) .....	2,021,240	(1,663,375)
5. Total gain (loss) on disposals .....	(1,114,213)	(198,814)
6. Deduct consideration for bonds and stocks disposed of .....	77,632,760	29,641,869
7. Deduct amortization of premium .....	165,821	279,843
8. Total foreign exchange change in book/adjusted carrying value .....		.0
9. Deduct current year's other-than-temporary impairment recognized .....		.0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees .....	3,877	
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....	212,595,847	198,608,124
12. Deduct total nonadmitted amounts .....	.0	.0
13. Statement value at end of current period (Line 11 minus Line 12) .....	212,595,847	198,608,124



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
<b>BONDS</b>								
1. NAIC 1 (a).....	.91,959,958	16,721,322	14,336,958	463,790	48,485,283	91,959,958	94,808,112	47,994,955
2. NAIC 2 (a).....	12,075,802	1,602,618	1,546,061	(445,544)	11,182,022	12,075,802	11,686,815	10,156,197
3. NAIC 3 (a).....	15,940			966	47,034	15,940	16,906	64,493
4. NAIC 4 (a).....	.0				.0	.0	.0	.0
5. NAIC 5 (a).....	.0				.0	.0	.0	67,849
6. NAIC 6 (a).....	0				0	0	0	0
7. Total Bonds	104,051,700	18,323,940	15,883,019	19,211	59,714,339	104,051,700	106,511,832	58,283,494
<b>PREFERRED STOCK</b>								
8. NAIC 1 .....	.0				.0	.0	.0	.0
9. NAIC 2 .....	.0				.0	.0	.0	.0
10. NAIC 3 .....	.0				.0	.0	.0	.0
11. NAIC 4 .....	.0				.0	.0	.0	.0
12. NAIC 5 .....	.0				.0	.0	.0	.0
13. NAIC 6 .....	0				0	0	0	0
14. Total Preferred Stock.....	0	0	0	0	0	0	0	0
15. Total Bonds & Preferred Stock	104,051,700	18,323,940	15,883,019	19,211	59,714,339	104,051,700	106,511,832	58,283,494

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation: NAIC 1 \$ ..... ; NAIC 2 \$ ..... ;  
NAIC 3 \$ ..... ; NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....

SCHEDULE DA - PART 1  
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	886,376	XXX	887,074	11,459	2,321

SCHEDULE DA - VERIFICATION  
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	0	198,108,427
2. Cost of short-term investments acquired .....	2,829,559	1,138,722
3. Accrual of discount .....	4,454	953
4. Unrealized valuation increase (decrease).....		0
5. Total gain (loss) on disposals .....	(219)	(49)
6. Deduct consideration received on disposals .....	1,945,876	199,248,054
7. Deduct amortization of premium.....	1,542	0
8. Total foreign exchange change in book/adjusted carrying value.....		0
9. Deduct current year's other-than-temporary impairment recognized.....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9).....	886,376	0
11. Deduct total nonadmitted amounts.....		0
12. Statement value at end of current period (Line 10 minus Line 11)	886,376	0

Schedule DB - Part A - Verification  
**NONE**

Schedule DB - Part B - Verification  
**NONE**

Schedule DB - Part C - Section 1  
**NONE**

Schedule DB - Part C - Section 2  
**NONE**

Schedule DB - Verification  
**NONE**

SCHEDULE E – PART 2 – VERIFICATION  
(Cash Equivalents)

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year.....	263,359,717	0
2. Cost of cash equivalents acquired .....	1,119,064,781	1,925,035,797
3. Accrual of discount .....	7,648	.663
4. Unrealized valuation increase (decrease) .....		0
5. Total gain (loss) on disposals.....	22	(39)
6. Deduct consideration received on disposals .....	1,153,192,852	1,661,676,704
7. Deduct amortization of premium .....		0
8. Total foreign exchange change in book/adjusted carrying value .....		0
9. Deduct current year's other than temporary impairment recognized .....		0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) .....	229,239,315	263,359,717
11. Deduct total nonadmitted amounts .....		0
12. Statement value at end of current period (Line 10 minus Line 11)	229,239,315	263,359,717

Schedule A - Part 2  
NONE

Schedule A - Part 3  
NONE

Schedule B - Part 2  
NONE

Schedule B - Part 3  
NONE

**STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan**

## SCHEDULE BA - PART 2

**Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter**

[illegible]

## SCHEDULE BA - PART 3

**Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter**

1	2	Location		5	6	7	8	Change in Book/Adjusted Carrying Value						15	16	17	18	19	20
		3	4					9	10	11	12	13	14						
CUSIP Identification	Name or Description	City	State	Name of Purchaser or Nature of Disposal	Date Originally Acquired	Disposal Date	Book/ Adjusted Carrying Value Less Encumbrances Prior Year	Unrealized Valuation Increase (Decrease)	Current Year's (Depreciation) or (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Capitalized Deferred Interest and Other	Total Change in B./A.C.V. (9+10-11+12)	Total Foreign Exchange Change in B./A.C.V.	Book/Adjusted Carrying Value Less Encumbrances on Disposal	Consideration	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Investment Income
Joint Venture, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Common Stocks - Unaffiliated																			
991R8-99-2	Sky Harbor Short Duration High Yield Partners, LP	Greenwich	CT	Redemption	07/01/2014	05/14/2018	11,989,181	(989,181)				(989,181)		11,000,000	12,097,730		1,097,730	1,097,730	
1599999 - Joint Venture, Partnership or Limited Liability Company Interests that have the Underlying Characteristics - Common Stocks - Unaffiliated																			
							11,989,181	(989,181)	0	0	0	(989,181)	0	11,000,000	12,097,730	0	1,097,730	1,097,730	0
4499999 – Subtotals - Unaffiliated							11,989,181	(989,181)	0	0	0	(989,181)	0	11,000,000	12,097,730	0	1,097,730	1,097,730	0
4599999 – Subtotals - Affiliated							0	0	0	0	0	0	0	0	0	0	0	0	0
4699999 Totals							11,989,181	(989,181)	0	0	0	(989,181)	0	11,000,000	12,097,730	0	1,097,730	1,097,730	0

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation or Market Indicator <sup>(a)</sup>
Bonds - U.S. Governments									
83162C-TH-6	SBAP 1020F A - ABS		09/26/2018	Raymond James Financial	XXX	264,121	260,418	3,368	1
912828-4N-7	UNITED STATES TREASURY		09/04/2018	VARIOUS	XXX	577,892	579,000	5,070	1
912828-4P-2	UNITED STATES TREASURY		08/29/2018	VARIOUS	XXX	1,865,697	1,870,000	13,783	1
912828-4R-8	UNITED STATES TREASURY		07/31/2018	VARIOUS	XXX	21,940	22,000	107	1
912828-4W-7	UNITED STATES TREASURY		09/05/2018	Nomura International Trust Co.	XXX	774,786	774,000	1,330	1
912828-5A-4	UNITED STATES TREASURY		09/24/2018	VARIOUS	XXX	2,425,995	2,436,000	1,611	1
912828-B6-6	UNITED STATES TREASURY		09/19/2018	VARIOUS	XXX	5,621,614	5,680,000	15,466	1
912828-D5-6	UNITED STATES TREASURY		09/04/2018	HSBC SECURITIES	XXX	488,164	500,000	678	1
912828-UN-8	UNITED STATES TREASURY		07/31/2018	CABRERA CAPITAL MARKETS	XXX	318,424	330,000	3,063	1
0599999 - Bonds - U.S. Governments						12,358,635	12,451,418	44,477	XXX
Bonds - U.S. Special Revenue									
3138ET-WN-2	FN AL8752 - RMBS		09/01/2018	SALOMON BROTHERS INC.	XXX	136,239	137,058	232	1
645913-AY-0	NEW JERSEY ECONOMIC DEV AUTH ST PENSION		09/18/2018	JANNEY MONTGOMERY, SCOTT INC.	XXX	200,556	210,000		1FE
3199999 - Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions						336,795	347,058	232	XXX
Bonds - Industrial and Miscellaneous (Unaffiliated)									
00287Y-BE-8	ABBYIE INC.		09/13/2018	Morgan Stanley	XXX	228,606	229,000		2FE
02377U-AB-0	AMERICAN AIRLINES INC - ABS		07/16/2018	BAIRD (ROBERT W.) & CO. INC.	XXX	288,651	280,554	116	2FE
035242-AP-1	ANHEUSER-BUSCH INBEV FINANCE INC.	C	07/27/2018	GOLDMAN	XXX	29,507	30,000	548	2FE
05538U-AA-1	BBUBS 012 A - CMBS		07/11/2018	SALOMON BROTHERS INC.	XXX	98,594	100,000	114	1FM
26208K-AG-5	DRIVE 183 D - ABS		07/17/2018	SALOMON BROTHERS INC.	XXX	69,997	70,000		2AM
30166P-AE-1	EART 183 C - ABS		07/17/2018	DEUTSCHE BANK ALEX BROWN	XXX	99,978	100,000		1FE
345397-ZH-9	FORD MOTOR CREDIT COMPANY LLC		08/06/2018	GOLDMAN	XXX	200,000	200,000		2FE
38148Y-AB-4	GOLDMAN SACHS GROUP INC.		09/10/2018	MIZUHO SECURITIES USA INC.	XXX	883,898	880,000	3,282	1FE
40573L-AG-1	HALFMOON PARENT INC.		09/06/2018	Morgan Stanley	XXX	197,000	197,000		2FE
460690-BM-1	INTERPUBLIC GROUP OF COMPANIES INC.		09/18/2018	SALOMON BROTHERS INC.	XXX	133,741	134,000		2FE
461070-AP-9	INTERSTATE POWER AND LIGHT CO.		09/19/2018	GOLDMAN	XXX	29,924	30,000		2FE
46647P-AD-8	JPMORGAN CHASE & CO.		09/28/2018	MIZUHO SECURITIES USA INC.	XXX	950,321	940,000	5,829	1FE
606822-AW-4	MITSUBISHI UFJ FINANCIAL GROUP INC.	C	07/17/2018	MITSUBISHI UFJ SECURITIES	XXX	219,000	219,000		1FE
67575N-BC-6	OMART 18T1 A - ABS		08/07/2018	Barclays Bank	XXX	150,000	150,000		1FE
713448-DW-7	PEPSICO INC.		07/26/2018	MIZUHO SECURITIES USA INC.	XXX	486,509	480,000	918	1FE
78409V-AJ-3	S&P GLOBAL INC.		09/20/2018	CHASE SECURITIES INC.	XXX	182,147	182,000	667	2FE
891027-AS-3	TORCHMARK CORP.		09/25/2018	US BANCORP INVESTMENTS INC.	XXX	14,946	15,000		2FE
961214-CX-9	WESTPAC BANKING CORP.	C	09/11/2018	Montgomery	XXX	18,569	20,000	190	1FE
98372P-AM-0	XLIT LTD	C	07/20/2018	Wachovia Bank	XXX	228,098	214,000	3,862	2FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						4,509,487	4,470,554	15,526	XXX
8399997 - Subtotals - Bonds - Part 3						17,204,916	17,269,030	60,234	XXX
8399999 - Subtotals - Bonds						17,204,916	17,269,030	60,234	XXX
Common Stocks - Mutual Funds									
09256H-28-6	BLACKROCK STRATEGIC INCOME OPP		07/31/2018	Blackrock Advisors LLC	12.330	120	XXX	0	1
091936-31-0	BLACKROCK MSCI WRLD		07/19/2018	Blackrock Advisors LLC	3,034.050	36,469	XXX	0	1
9299999 - Common Stocks - Mutual Funds						36,590	XXX	0	XXX
9799997 - Subtotals - Common Stocks - Part 3						36,590	XXX	0	XXX
9799999 - Subtotals - Common Stocks						36,590	XXX	0	XXX
9899999 - Subtotals- Preferred and Common Stocks						36,590	XXX	0	XXX
9999999 Totals						17,241,506	XXX	60,234	XXX

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

CUSIP Identifi- cation	Description	Fore ign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
										Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designa- tion or Market Indicator (a)	
Bonds - U.S. Governments																						
36179M-E9-7	G2 MA0160 - RMBS		09/01/2018	Paydown	XXX	1,157	1,157	1,322	1,293		2		2		1,295		(138)	(138)	.40	12/20/2039	1	
3622A2-P6-3	G2 784045 - RMBS		09/01/2018	Paydown	XXX	1,392	1,392	1,503	1,520		13		13		1,533		(141)	(141)	.21	07/20/2045	1	
38373V-WZ-9	GNR 0269C BE - CMO/RMBS		09/01/2018	Paydown	XXX	808	808	889	878		(1)		(1)		879		(69)	(69)	.22	10/20/2032	1	
38374B-NY-5	GNR 0358A PC - CMO/RMBS		09/01/2018	Paydown	XXX	4,916	4,916	5,354	5,207		(4)		(4)		5,202		(287)	(287)	.125	07/20/2033	1	
38374B-QX-4	GNR 0365A AP - CMO/RMBS		09/01/2018	Paydown	XXX	1,078	1,078	1,227	1,221		(2)		(2)		1,219		(140)	(140)	.34	08/20/2033	1	
38374D-7K-9	GNR 0841A PE - CMO/RMBS		09/01/2018	Paydown	XXX	4,553	4,553	5,199	5,037		33		33		5,069		(516)	(516)	.135	05/20/2038	1	
38374D-PQ-6	GNR 082F MD - CMO/RMBS		09/01/2018	Paydown	XXX	6,482	6,482	7,133	6,876		29		29		6,905		(423)	(423)	.196	01/16/2038	1	
38374J-B9-6	GNR 04101A BE - CMO/RMBS		09/01/2018	Paydown	XXX	4,635	4,635	5,010	4,862		2		2		4,864		(228)	(228)	.128	11/20/2034	1	
38374K-HR-7	GNR 04105E MD - CMO/RMBS		09/01/2018	Paydown	XXX	5,109	5,109	5,569	5,345		(7)		(7)		5,338		(228)	(228)	.153	12/20/2034	1	
38374K-LR-2	GNR 056C UY - CMO/RMBS		09/01/2018	Paydown	XXX	1,484	1,484	1,610	1,608		3		3		1,610		(127)	(127)	.41	10/20/2034	1	
38374K-P2-3	GNR 0526C XY - CMO/RMBS		09/01/2018	Paydown	XXX	10,787	10,787	12,198	11,941		(6)		(6)		11,935		(1,148)	(1,148)	.320	03/20/2035	1	
38374K-XC-2	GNR 0516B EY - CMO/RMBS		09/01/2018	Paydown	XXX	3,108	3,108	3,457	3,345		1		1		3,346		(238)	(238)	.84	02/20/2035	1	
38374L-WF-4	GNR 0554 JE - CMO/RMBS		09/01/2018	Paydown	XXX	9,396	9,396	10,523	10,078		17		17		10,095		(699)	(699)	.260	07/20/2035	1	
38375B-D7-4	GNR 12H31 FD - CMO		09/20/2018	Paydown	XXX	1,174	1,174	1,166	1,148		(1)		(1)		1,147		27	27	.14	12/20/2062	1	
38375J-YC-3	GNR 0722A PK - CMO/RMBS		09/01/2018	Paydown	XXX	471	471	535	515		(2)		(2)		514		(43)	(43)	.15	04/20/2037	1	
38375K-EX-6	GNR 0733 LE - CMO/RMBS		09/01/2018	Paydown	XXX	6,974	6,974	7,881	7,558		32		32		7,590		(616)	(616)	.218	06/20/2037	1	
38375K-XH-0	GNR 0741B PC - CMO/RMBS		09/01/2018	Paydown	XXX	4,905	4,905	5,457	5,291		34		34		5,325		(420)	(420)	.150	07/20/2037	1	
38375P-AJ-0	GNR 083A PH - CMO/RMBS		09/01/2018	Paydown	XXX	2,293	2,293	2,481	2,458		2		2		2,460		(167)	(167)	.65	01/20/2038	1	
38375Q-D8-9	GNR 0850C KB - CMO/RMBS		09/01/2018	Paydown	XXX	3,630	3,630	4,005	4,009		26		26		4,035		(405)	(405)	.114	06/20/2038	1	
38375S-Z7-2	GNR 0865A PG - CMO/RMBS		09/01/2018	Paydown	XXX	689	689	771	751		4		4		755		(66)	(66)	.22	08/20/2038	1	
38376C-R7-6	GNR 0992D FC - CMO/RMBS		09/16/2018	Paydown	XXX	4,374	4,374	4,385	4,384		.0		.0		4,384		(10)	(10)	.64	10/16/2039	1	
38380F-3R-5	GNR 1799D PT - CMO/RMBS		09/01/2018	Paydown	XXX	6,618	6,618	7,549	7,563		22		22		7,585		(967)	(967)	.237	08/20/2044	1	
83162C-NU-3	SBAP 0420F A - ABS		07/01/2018	Paydown	XXX						.0		.0				(32)	(32)	.0	06/01/2024	1	
83162C-PG-2	SBAP 0520A A - ABS		07/01/2018	Paydown	XXX	7,131	7,131	7,597	7,438		(14)		(14)		7,424		(293)	(293)	.196	01/01/2025	1	
912828-W8-0	UNITED STATES TREASURY		09/18/2018	First Tennessee Bank	XXX	3,430,116	3,560,000	3,434,657		9,230		9,230		9,230		3,443,887		(13,772)	(13,772)	57,388	11/30/2022	1
912828-N4-8	UNITED STATES TREASURY		08/06/2018	Montgomery	XXX	325,742	333,000	327,030		858		858		858		327,888		(2,146)	(2,146)	3,516	12/31/2020	1
912828-W6-3	UNITED STATES TREASURY		09/26/2018	VARIOUS	XXX	1,032,849	1,049,000	1,045,746		625,415		428		428		1,045,501		(12,652)	(12,652)	13,594	03/15/2020	1
912833-KW-9	UNITED STATES TREASURY		09/12/2018	Montgomery	XXX	58,631	60,000	54,175		58,291		731		731		59,022		(391)	(391)		08/15/2019	1
0599999 - Bonds - U.S. Governments						4,940,502	5,095,164	4,964,429	784,033	0	11,429	0	11,429	0	4,976,805	0	(36,303)	(36,303)	77,119	XXX	XXX	
Bonds - U.S. Special Revenue and Special Assessment and all Non-Guaranteed Obligations of Agencies and Authorities of Governments and Their Political Subdivisions																						
3128HX-SU-5	FHS S276A A5 - CMO/RMBS		09/01/2018	Paydown	XXX	2,970	2,970	2,825	2,833		5		5		2,837		132	132	.49	09/15/2042	1	
3128W1-MQ-9	FH G12267 - RMBS		09/01/2018	Paydown	XXX	916	916	1,011	956		(2)		(2)		953		(37)	(37)	.21	07/01/2021	1	
3128W6-6A-1	FH G05065 - RMBS		09/01/2018	Paydown	XXX	3,584	3,584	3,980	4,347		(3)		(3)		4,344		(760)	(760)	.134	12/01/2038	1	
3128P7-D7-5	FH C91026 - RMBS		09/01/2018	Paydown	XXX	369	369	399	391		2		2		393		(24)	(24)	.12	04/01/2027	1	
3128P7-W6-7	FH C91281 - RMBS		09/01/2018	Paydown	XXX	1,116	1,116	1,188	1,177		(2)		(2)		1,175		(59)	(59)	.27	12/01/2029	1	
3128P7-NL-3	FH C91295 - RMBS		09/01/2018	Paydown	XXX	624	624	661	658		(1)		(1)		657		(33)	(33)	.16	04/01/2030	1	
3128PR-JW-0	FH J12077 - RMBS		09/01/2018	Paydown	XXX	1,266	1,266	1,354	1,328		(3)		(3)		1,325		(59)	(59)	.31	04/01/2025	1	
31300M-LY-7	FH 849343 - RMBS		09/01/2018	Paydown	XXX	4,063	4,063	4,177	4,200		36		36		4,236		(172)	(172)	.55	08/01/2043	1	
3130AB-K5-4	FEDERAL HOME LOAN BANKS		09/19/2018	GX CLARKE & CO	XXX	645,015	645,000	646,070		(371)		(371)		645,699		(684)	(684)	7,071	06/12/2019	1		
31326K-X6-9	FH 2B5201 - RMBS		09/01/2018	Paydown	XXX	5,423	5,423	5,477		17		17		5,495		(72)	(72)	.48	03/01/2046	1		
31347A-2S-2	FH 840785 - RMBS		09/01/2018	Paydown	XXX	11,965	11,965	12,653		32		32		12,685		(720)	(720)	.34	01/01/2038	1		
3136A0-K4-8	FNR 1186B KF - CMO/RMBS		09/25/2018	Paydown	XXX	1,376	1,376	1,375	1,378		.0		.0		1,378		(2)	(2)	.19	09/25/2041	1	
3136A9-P8-5	FNR 12120A AH - CMO/RMBS		09/01/2018	Paydown	XXX	1,879	1,879	1,803	1,811		8		8		1,819		59	59	.24	02/25/2032	1	
3136AD-GY-9	FNR 1331K ET - CMO/RMBS		09/01/2018	Paydown	XXX	2,122	2,122	2,207	2,196		(6)		(6)		2,190		(68)	(68)	.43	01/25/2033	1	
3136AE-X6-9	FNA 13M9 A2 - CMBS		09/01/2018	Paydown	XXX	1,176	1,176	1,122	1,146		2		2		1,150		26	26	.7	01/25/2023	1	
3136AJ-D3-7	FNR 1426C YD - CMO/RMBS		09/01/2018	Paydown	XXX	9,438	9,438	9,488	9,470		(1)		(1)		9,468		(31)	(31)	.97	04/25/2044	1	
3136AL-HX-2	FNA 14M12B AV2 - CMBS		09/01/2018	Paydown	XXX	1,611	1,611	1,627	1,618		(1)		(1)		1,617		(6)	(6)	.28	10/25/2021	1	
31371N-UA-5	FN 257203 - RMBS		09/01/2018	Paydown	XXX	396	396	417	410		1		1		411		(15)	(15)	.11	05/01/2028	1	
31371P-AJ-9	FN 257509 - RMBS		09/01/2018	Paydown	XXX	494	494	538	499		(4)		(4)		495		(1)	(1)	.24	11/01/2018	1	
3137A0-VG-7	FHR 3705A CA - CMO/RMBS		09/01/2018	Paydown	XXX																	



STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Contractual Maturity Date	NAIC Designation or Market Indicator (a)
										11	12	13	14	15							
3138ET-W4-5..	FN AL8478 - RMBS.....		09/01/2018..	Paydown.....	XXX.....	4,377	4,377	4,549	4,582		77		77		4,659		(281)	(281)	.65	09/01/2044..	1
3138ET-X3-5..	FN AL8797 - RMBS.....		09/01/2018..	Paydown.....	XXX.....	10,261	10,261	10,651	10,688		122		122		10,810		(548)	(548)	.160	10/01/2044..	1
3138L8-N9-1..	FN AM7615 - RMBS.....		08/01/2018..	Paydown.....	XXX.....	1,913	1,913	2,021	2,015		(7)		(7)		2,008		(95)	(95)	.38	12/01/2034..	1
3138L8-N9-1..	FN AM7615 - CMBS/RMBS.....		09/01/2018..	Paydown.....	XXX.....	944	944	997	994		(4)		(4)		990		(46)	(46)	.23	12/01/2034..	1
3138LA-40-9..	FN AM9830 - RMBS.....		08/01/2018..	Paydown.....	XXX.....	266	266	274	274		(1)		(1)		273		(7)	(7)	.5	09/01/2027..	1
3138LA-40-9..	FN AM9830 - CMBS/RMBS.....		09/01/2018..	Paydown.....	XXX.....	128	128	131	131		0		0		131		(3)	(3)	.3	09/01/2027..	1
3138NX-HU-4..	FN AR1142 - RMBS.....		09/01/2018..	Paydown.....	XXX.....	468	468	480	480		0		0		480		(13)	(13)	.9	02/01/2043..	1
3138WP-5N-0..	FN AT2652 - RMBS.....		09/01/2018..	Paydown.....	XXX.....	13,658	13,658	14,541	14,682		2		2		14,683		(1,025)	(1,025)	.318	12/01/2042..	1
313921-Y8-5..	FNR 0160H GK - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	466	466	510	503		(3)		(3)		500		(35)	(35)	.15	11/25/2031..	1
31392E-JK-7..	FNR 0253E EL - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	2,026	2,026	2,284	2,172		(9)		(9)		2,163		(137)	(137)	.73	09/25/2032..	1
31392X-2H-0..	FHR 2517E ZD - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	372	372	398	397		(1)		(1)		396		(24)	(24)	.10	10/15/2032..	1
31393C-WJ-8..	FNR 0346A PJ - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	759	759	804	804		0		0		805		(46)	(46)	.21	06/25/2033..	1
31393D-6J-5..	FNR 0376L EZ - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	4,802	4,802	5,141	4,901		(7)		(7)		4,954		(152)	(152)	.141	08/25/2033..	1
31393K-TW-5..	FHR 2575D QE - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	160	160	172	171		0		0		170		(10)	(10)	.5	02/15/2033..	1
31393T-7H-3..	FNR 0411 A - CMO/RMBS.....		09/25/2018..	Paydown.....	XXX.....	1,205	1,205	1,181	1,184		1		1		1,185		20	20	.12	03/25/2034..	1
31393T-D5-2..	FNR 03110A WA - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	488	488	500	494		0		0		493		(5)	(5)	.9	08/25/2033..	1
31393U-LG-6..	FNR 03122G MG - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	541	541	564	550		(2)		(2)		549		(8)	(8)	.11	08/25/2033..	1
31394A-EC-6..	FNR 0452B NE - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,400	1,400	1,496	1,415		(72)		(72)		1,402		(2)	(2)	.80	07/25/2033..	1
31394A-OF-6..	FNR 0464A BL - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	2,712	2,712	2,984	2,866		(8)		(8)		2,858		(146)	(146)	.82	08/25/2034..	1
31394B-5A-8..	FNR 055B PA - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	951	951	1,005	996		(3)		(3)		993		(43)	(43)	.23	01/25/2035..	1
31394B-YB-4..	FNR 0491A BR - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	4,348	4,348	4,916	4,699		(41)		(41)		4,658		(309)	(309)	.142	12/25/2034..	1
31394D-A4-2..	FNR 0548B AR - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,148	1,148	1,235	1,181		(10)		(10)		1,171		(24)	(24)	.18	02/25/2035..	1
31394E-FT-0..	FNR 0564B PL - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,198	1,198	1,304	1,286		(1)		(1)		1,285		(87)	(87)	.34	07/25/2035..	1
31394F-N9-2..	FNR 0584B MB - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,707	1,707	1,861	1,832		(2)		(2)		1,830		(123)	(123)	.49	10/25/2035..	1
31394P-SZ-7..	FHR 2746A EG - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	504	504	539	508		(2)		(2)		505		(1)	(1)	.17	02/15/2019..	1
31394R-QY-8..	FHR 2771B MC - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,180	1,180	1,381	1,302		0		0		1,303		(123)	(123)	.43	03/15/2034..	1
31394T-XA-8..	FHR 2764K UG - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	666	666	717	698		(4)		(4)		694		(28)	(28)	.22	03/15/2034..	1
31395F-NK-6..	FHR 2853C EY - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	747	747	786	768		(1)		(1)		767		(20)	(20)	.18	09/15/2024..	1
31395M-SH-3..	FHR 2933A HD - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,749	1,749	1,898	1,872		(1)		(1)		1,871		(122)	(122)	.48	02/15/2035..	1
31395U-WU-2..	FHR 2979H MC - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,387	1,387	1,496	1,402		(22)		(22)		1,394		(6)	(6)	.33	05/15/2020..	1
31395X-ZU-2..	FHR 3017C MK - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,057	1,057	1,114	1,075		(13)		(13)		1,064		(7)	(7)	.28	12/15/2034..	1
31396L-3T-5..	FNR 06114E HE - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,442	1,442	1,601	1,552		1		1		1,553		(110)	(110)	.43	12/25/2036..	1
31396L-TA-8..	FNR 06108B FD - CMO/RMBS.....		09/25/2018..	Paydown.....	XXX.....	1,856	1,856	1,855	1,857		0		0		1,857		(1)	(1)	.22	11/25/2036..	1
31396Q-FC-8..	FNR 0947A PA - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	600	600	629	618		6		6		625		(25)	(25)	.11	07/25/2039..	1
31396Q-MN-6..	FNR 0950L PT - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	602	602	655	655		(1)		(1)		653		(52)	(52)	.19	05/25/2037..	1
31396Q-TN-9..	FNR 0962B HJ - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,340	1,340	1,491	1,450		1		1		1,451		(111)	(111)	.47	05/25/2039..	1
31396W-FQ-4..	FNR 0754J FA - CMO/RMBS.....		09/25/2018..	Paydown.....	XXX.....	6,788	6,788	6,689	6,791		95		95		6,886		(98)	(98)	.80	06/25/2037..	1
31396W-TU-0..	FNR 0763D FC - CMO/RMBS.....		09/25/2018..	Paydown.....	XXX.....	545	545	542	543		0		0		543		2	2	.5	07/25/2037..	1
31396X-NT-7..	FNR 0796A AF - CMO/RMBS.....		09/25/2018..	Paydown.....	XXX.....	1,672	1,672	1,682	1,727		21		21		1,749		(76)	(76)	.23	06/25/2037..	1
31397B-5P-2..	FHR 3211D FG - CMO/RMBS.....		09/15/2018..	Paydown.....	XXX.....	827	827	823	841		12		12		853		(26)	(26)	.10	09/15/2036..	1
31397H-D7-0..	FHR 3318G DB - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	2,107	2,107	2,347	2,324		(9)		(9)		2,316		(209)	(209)	.68	05/15/2027..	1
31397J-VG-6..	FHR 3349D HG - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	2,298	2,298	2,591	2,461		7		7		2,468		(170)	(170)	.76	07/15/2037..	1
31397N-L4-5..	FNR 0941A WA - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	686	686	758	749		(1)		(1)		748		(62)	(62)	.24	06/25/2039..	1
31398Q-LL-9..	FHR 3685D GB - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	4,127	4,127	4,465	4,282		13		13		4,295		(168)	(168)	.84	06/15/2025..	1
31398R-UH-6..	FNR 1054H EA - CMO/RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,544	1,544	1,613	1,597		(2)		(2)		1,595		(51)	(51)	.36	06/25/2040..	1
31398R-XN-0..	FNR 1058F FY - CMO/RMBS.....		09/25/2018..	Paydown.....	XXX.....	2,720	2,720	2,740	2,810		39		39		2,849		(129)	(129)	.40	06/25/2040..	1
31402N-YN-2..	FN 734317 - RMBS.....		09/01/2018..	Paydown.....	XXX.....	454	454	498	500		(1)		(1)		499		(44)	(44)	.3	10/01/2033..	1
31403C-4P-3..	FN 745230 - RMBS.....		09/01/2018..	Paydown.....	XXX.....	1,992	1,992	2,203	2,191		5		5		2,196		(205)	(205)	.70	05/01/2035..	1

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amortization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
00037B-AF-9...	ABB FINANCE (USA) INC.	C.	08/29/2018...	TORONTO DOMINION SECURITIES (USA) INC.	XXX	10,153	10,000	10,000					0		10,000		153	153	155	04/03/2028...	1FE
00206R-CC-4...	AT&T INC.		09/19/2018...	Wachovia Bank	XXX	259,602	260,000	264,724	262,014		(1,210)		(1,210)		260,804		(1,202)	(1,202)	6,146	03/11/2019...	2FE
002824-BJ-8...	ABBOTT LABORATORIES		09/15/2018...	Maturity @ 100.00	XXX	153,000	153,000	154,132	153,539		(539)		(539)		153,000			0	3,060	09/15/2018...	2FE
023761-AA-7...	ABS		08/15/2018...	Paydown	XXX	298	298	298	298				0		298			0	6	08/15/2030...	1FE
023764-AA-1...	AMERICAN AIRLINES 2016-2 PASS THROUGH TR		09/01/2018...	VARIOUS	XXX	1,789	1,844	1,844	1,844				0		1,844		(55)	(55)	50	12/15/2029...	1FE
02377A-AA-6...	AMERICAN AIRLINES PASS THROUGH TRUST SER		09/01/2018...	Paydown	XXX						0		0		0		0	0		04/01/2028...	1FE
02665U-AA-3...	AH4R 14SFR2 A - ABS		09/01/2018...	Paydown	XXX	409	409	434	420		(2)		(2)		418		(9)	(9)	9	10/17/2036...	1FE
026874-DC-8...	AMERICAN INTERNATIONAL GROUP INC.		07/12/2018...	WELLS FARGO SECURITIES	XXX	6,329	7,000	6,977	6,979		0		0		6,980		(651)	(651)	272	01/15/2035...	2FE
035240-AL-4...	ANHEUSER-BUSCH INBEV	C.	07/27/2018...	GOLDMAN	XXX	30,105	30,000	29,765			6		6		29,771		334	334	390	04/13/2028...	2FE
037411-AZ-8...	APACHE CORP.		08/24/2018...	Not Available	XXX	2,999	3,000	2,976	2,984		2		2		2,987		12	12	84	04/15/2022...	2FE
04541G-QC-9...	ABSHE 05HE2 M2 - RMBS		09/25/2018...	Paydown	XXX	4,179	4,179	3,839	4,127		(68)		(68)		4,155		24	24	21	02/25/2035...	1FM
05605G-AA-0...	B2R 152 A - ABS		09/01/2018...	Paydown	XXX	8,802	8,802	8,801	8,795		(3)		(3)		8,792		10	10	238	11/18/2048...	1FE
05949A-HA-1...	BOAMS 04E 2A6 - CMO/RMBS		09/01/2018...	Paydown	XXX	332	332	308	296		6		6		302		30	30	8	06/25/2034...	1FM
064159-EX-0...	BANK OF NOVA SCOTIA		07/06/2018...	SCOTIA MCLEOD INC.	XXX	29,801	30,000	29,731			64		64		29,794		7	7	367	06/05/2019...	1FE
06849R-AF-9...	BARRICK NORTH AMERICA FINANCE LLC.		07/17/2018...	VARIOUS	XXX	4,171	4,000	4,282	4,126		(19)		(19)		4,107		(107)	(107)	282	05/30/2021...	2FE
07177M-AL-7...	BAIXALTA INC.	C.	09/11/2018...	Not Availiable	XXX	3,012	3,000	2,997	2,998		0		0		2,999		14	14	77	06/23/2022...	2FE
07384M-YS-5...	BSARM 037 3A - CMO/RMBS		09/01/2018...	Paydown	XXX	84	84	79	79		1		1		80		4	4	2	10/25/2033...	1FM
07386H-JU-6...	BALTA 046 1A - RMBS		09/25/2018...	Paydown	XXX	3,957	3,957	3,838	3,872		59		59		3,895		62	62	170	07/25/2034...	1FM
075887-BF-5...	BECTON DICKINSON AND CO. BRITISH AIRWAYS PASS THRU		08/31/2018...	MILLENNIUM ADVISORS, LLC	XXX	1,972	2,000	2,000	2,000		0		0		2,000		(28)	(28)	54	12/15/2024...	2FE
11043H-AA-6...	TRUST 2018 1A	C.	09/20/2018...	Paydown	XXX	1	1	1			0		0		1		0	0	0	03/20/2033...	1FE
123262-AN-7...	BJETS 181 A - ABS		09/15/2018...	Paydown	XXX	4,184	4,184	4,184			0		0		4,184		0	0	64	02/15/2033...	1FE
125066-AB-4...	CCG 161 A2 - ABS		09/14/2018...	Paydown	XXX	9,135	9,135	9,135	9,135		0		0		9,135		0	0	85	09/14/2022...	1FE
12591Q-AM-4...	COMM 14UBSA A2 - CMBS		09/01/2018...	Paydown	XXX	3,639	3,639	3,783	3,714		(33)		(33)		3,681		(42)	(42)	67	08/12/2047...	1FM
12592Y-AD-6...	CNH 15B A3 - ABS		09/15/2018...	Paydown	XXX	8,210	8,210	8,209	8,210		0		0		8,210		0	0	38	07/15/2020...	1FE
12593X-AB-1...	CPS 16A B - ABS		09/15/2018...	Paydown	XXX	35,709	35,709	35,703	35,705		3		3		35,709		0	0	759	05/15/2020...	1FE
126650-CH-1...	CVS HEALTH CORP.		07/20/2018...	Maturity @ 100.00	XXX	447,000	447,000	446,687	446,941		59		59		447,000		0	0	8,493	07/20/2018...	2FE
13974M-AC-0...	AFIN 162 A3 - ABS		09/20/2018...	Paydown	XXX	3,189	3,189	3,174	3,181		4		4		3,185		4	4	31	06/22/2020...	1FE
171787-AA-2...	CIGAR 171 A - ABS		09/15/2018...	Paydown	XXX	2,264	2,264	2,264	2,264		0		0		2,264		0	0	38	05/15/2023...	1FE
172967-JW-2...	CITIGROUP INC.		07/30/2018...	Maturity @ 100.00	XXX	11,000	11,000	10,997	10,999		1		1		11,000		0	0	237	07/30/2018...	2FE
17307G-EB-0...	CMLT1 04HYB2 1A - CMO/RMBS		09/01/2018...	Paydown	XXX	779	779	742	726		6		6		732		47	47	19	03/25/2034...	1FM
17307G-MC-9...	CMLT1 04HYB4 AA - CMO/RMBS		09/25/2018...	Paydown	XXX	1,789	1,789	1,493	1,547		8		8		1,555		234	234	20	12/26/2034...	1FM
19625G-AA-7...	CAFL 161 A - CMBS		09/01/2018...	Paydown	XXX	20,761	20,761	20,760	20,760		0		0		20,761		0	0	284	06/17/2048...	1FE
21079V-AA-1...	UNITED AIRLINES INC - ABS		07/12/2018...	Paydown	XXX	20,179	20,179	20,709			(8)		(8)		20,701		(522)	(522)		07/12/2022...	1FE
21688A-AA-0...	(NEW YORK BRANC	C.	09/24/2018...	Wachovia Bank	XXX	249,795	250,000	251,185	250,634		(450)		(450)		250,184		(389)	(389)	6,750	01/14/2019...	1FE
23341J-AB-4...	DTAOT 164 B - ABS		08/15/2018...	Paydown	XXX	6,204	6,204	6,204	6,204		0		0		6,204		0	0	98	08/17/2020...	1FE
26208D-AD-8...	DRIVE 17B B - ABS		09/17/2018...	Paydown	XXX	6,585	6,585	6,584	6,585		0		0		6,585		0	0	108	05/15/2020...	1FE
26208D-AE-6...	DRIVE 17B C - ABS		09/15/2018...	Paydown	XXX	93	93	93	93		0		0		93		0	0	2	08/16/2021...	1FE
278642-AE-3...	EBAY INC.		07/13/2018...	WELLS FARGO SECURITIES	XXX	38,698	40,000	37,824	38,591		157		157		38,749		(51)	(51)	1,046	07/15/2022...	2FE
30165V-AC-3...	EART 153 B - ABS		09/15/2018...	Paydown	XXX	22,603	22,603	22,597	22,599		3		3		22,602		1	1	489	08/16/2021...	1FE
30231G-AP-7...	EXXON MOBIL CORP.		09/19/2018...	SALOMON BROTHERS INC.	XXX	305,999	307,000	307,000	307,000		0		0		307,000		(1,001)	(1,001)	5,535	03/01/2019...	1FE
31677Q-BF-5...	FIFTH THIRD BANK (OHIO)		09/19/2018...	SALOMON BROTHERS INC.	XXX	199,750	200,000	199,954	199,981		11		11		199,992		(242)	(242)	4,677	03/15/2019...	1FE
33766U-AA-6...	FKL 15SFR1 A - CMBS		08/01/2018...	Paydown	XXX	50,878	50,878	51,003	50,904		(20)		(20)		50,884		(6)	(6)	1,076	03/11/2047...	1FE
33843G-AB-2...	FCAT 142 B - ABS		09/15/2018...	Paydown	XXX	14,614	14,614	14,614	14,614		1		1		14,614		0	0	383	11/16/2020...	1FE
33843L-AA-3...	FCAT 153 A - ABS		09/15/2018...	Paydown	XXX	3,115	3,115	3,115	3,115		0		0		3,115		0	0	31	10/15/2020...	1FE
343412-AB-8...	FLUOR CORP.		09/24/2018...	VARIOUS	XXX	15,099	15,000	15,656	15,366		(69)		(69)		15,297		(297)	(297)	617	09/15/2021...	2FE
36192K-AT-4...	GSMS 12GCJ7 A4 - CMBS		09/01/2018...	Paydown	XXX	4,126	4,126	4,168			(5)		(5)		4,163		(37)	(37)	48	05/12/2045...	1FM
36253U-AB-7...	GCAR 171 A2 - ABS		09/15/2018...	Paydown	XXX	15,045	15,045	15,045	15,045		0		0		15,045		0	0	253	04/15/2021...	1FE
38141G-VW-1...	GOLDMAN SACHS GROUP INC.		09/10/2018...	MIZUHO SECURITIES USA INC.	XXX	884,713	865,000	887,594			(2,808)		(2,808)		884,786		(72)	(72)	12,484	04/23/2021...	1FE
38218Q-AA-8...	GOODS 172 A - ABS		09/15/2018...	Paydown	XXX	3,225	3,225	3,224	3,224		0		0		3,224		0	0	46	10/15/2053...	1FE
42770W-AA-7...	HERO 162 A - ABS		09/20/2018...	Paydown	XXX	7,901	8,118	8,118	8,116		(32)		(32)		8,084		(183)	(183)	158	09/20/2041...	1FE
42770X-AA-5...	HERO 163A A1 - ABS		09/20/2018...	Paydown	XXX	8,418	8,418	8,454	8,454		(5)		(5)		8,449		(31)	(31)	138	09/20/2042...	1FE
42771A-AB-2...	HERO 173 A2 - ABS	C.	09/20/2018...	Paydown	XXX	3,367	3,367	3,451	3,451		(8)		(8)		3,443		(76)	(76)	39	09/20/2048...	1FE
45660L-AN-9...	RAST 041P2 1A1 - CMO/RMBS		09/01/2018...	Paydown	XXX	488		437	445		2		2		446		41	41	12	12/25/2034...	1FM
45866F-AB-0...	INC.		09/12/2018...	VARIOUS	XXX	11,002	11,000	10,990	10,998		1		1		11,000		0	0	252	10/15/2018...	1FE
46625H-HL-7...	JPMORGAN CHASE & CO		09/24/2018...	CHASE SECURITIES INC.	XXX	270,478	265,000	298,067	280,421		(8,611)		(8,611)		271,810		(1,332)	(1,332)	15,443	04/23/2019...	1FE

STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

CUSIP Identification	Description	Foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	Change in Book/Adjusted Carrying Value					Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Designation or Market Indicator (a)
										11	12	13	14	15							
46625H-RU-6	JPMORGAN CHASE & CO.		09/28/2018	MIZUHO SECURITIES USA INC.	XXX	958,744	940,000	960,906		(2,872)			(2,872)		958,033		710	710	17,975	06/07/2021	1FE
46641W-A1-4	JPMIBB 14C19 A2 - CMBS		09/01/2018	Paydown	XXX	20,642	20,642	20,681		(13)			(13)		20,668		(26)	(26)	105	04/17/2047	1FM
46650J-AD-6	JPMIT 186 1A4 - CMO/RMBS		09/01/2018	Paydown	XXX	5,663	5,663	5,625		0			0		5,625		38	38	19	12/25/2046	1FE
52604J-AA-7	LCIT 16NP A - ABS		09/15/2018	Paydown	XXX	7,180	7,180	7,194		(11)			(11)		7,181		(1)	(1)	155	01/17/2023	1FE
539830-BJ-7	LOCKHEED MARTIN CORP.		09/24/2018	Wachovia Bank	XXX	138,864	139,000	138,762		59			59		138,987		(123)	(123)	2,164	11/23/2018	2FE
55269L-2N-8	MASTR 0311 8A1 - CMO/RMBS		09/01/2018	Paydown	XXX	3,335	3,335	3,491		(15)			(15)		3,522		(187)	(187)	106	12/25/2033	1FM
55315F-AC-4	WMAF 16A A3 - ABS		09/15/2018	Paydown	XXX	47,963	47,963	47,957		1			1		47,962		1	1	407	06/15/2020	1FE
	MAGELLAN MIDSTREAM																				
559080-AD-8	PARTNERS LP		07/15/2018	Maturity @ 100.00	XXX	20,000	20,000	24,348	20,478		(478)		(478)		20,000			0	1,280	07/15/2018	1FE
57108P-AA-3	MFT 172 A - ABS		09/15/2018	Paydown	XXX	13,768	13,768	13,768		0			0		13,768		0	0	213	07/15/2024	1FE
57109E-AA-7	MFT 182 A - ABS		09/15/2018	Paydown	XXX	21,854	21,854	21,854		0			0		21,854		0	0	79	07/17/2028	1FE
	MARSH & MCLENNAN																				
571748-AX-0	COMPANIES INC.		07/26/2018	WELLS FARGO SECURITIES	XXX	9,830	10,000	9,962	9,972		2		2		9,974		(144)	(144)	311	03/10/2025	1FE
	MARM 04AR13 3A7 -																				
576433-UM-6	CMO/RMBS		09/01/2018	Paydown	XXX	691	691	681	683		0		0		683		8	8	14	11/21/2034	1FM
589929-2M-9	MLCC 03F A1 - CMO/RMBS		09/25/2018	Paydown	XXX	1,746	1,746	1,692	1,704		2		2		1,706		40	40	20	10/25/2028	1FM
59020U-BU-8	MLCC 04B A1 - CMO/RMBS		09/25/2018	Paydown	XXX	2,247	2,247	2,146	2,225		(15)		(15)		2,210		37	37	33	05/25/2029	1FM
60689L-AE-5	WMAF 13A A5 - ABS		09/09/2018	Paydown	XXX	8,304	8,304	8,392	8,373		(33)		(33)		8,339		(36)	(36)	139	06/09/2033	1FE
61762D-AT-8	MSBAM 13C9 A2 - CMBS		09/01/2018	Paydown	XXX	4,428	4,428	4,457	4,433		(2)		(2)		4,431		(3)	(3)	50	05/17/2046	1FM
	NBCUNIVERSAL ENTERPRISE																				
63946C-AD-0	INC.		09/24/2018	US Bancorp Piper-DTC	XXX	282,765	284,000	284,910	284,608		(345)		(345)		284,263		(1,498)	(1,498)	5,310	04/15/2019	1FE
65477U-AC-4	NAROT 15A A3 - ABS		09/17/2018	Paydown	XXX	12,435	12,435	12,432	12,435		3		3		12,435		0	0	206	10/15/2019	1FE
67575N-BB-8	OMART 17T1 DT1 - ABS		08/15/2018	Paydown	XXX	100,000	100,000	100,000	100,000		0		0		100,000		0	0	2,357	09/15/2048	2AM
68267J-AA-1	OMFIT 152 A - ABS		09/18/2018	Paydown	XXX	12,010	12,010	12,010	12,010		0		0		12,010		0	0	254	07/18/2025	1FE
74332N-AA-1	PROG 15SFR3 A - ABS		08/01/2018	Paydown	XXX	54	54	55	55		0		0		54		0	0	1	11/15/2032	1FE
74360J-AA-5	PMIT 171 A - ABS		09/15/2018	Paydown	XXX	14,681	14,681	14,681	14,681		0		0		14,681		0	0	263	06/15/2023	1FE
747525-AP-8	QUALCOMM INC.		07/02/2018	Call @ 100.00	XXX	141,000	141,000	140,992	140,993		1		1		140,994		6	6	1,826	05/20/2020	1FE
78008S-7D-2	ROYAL BANK OF CANADA		07/27/2018	Maturity @ 100.00	XXX	13,000	13,000	13,000	13,000		0		0		13,000		0	0	286	07/27/2018	1FE
	SCHLUMBERGER HOLDINGS																				
806851-AB-7	CORP.		09/24/2018	Wachovia Bank	XXX	230,905	231,000	230,972	230,991		7		7		230,998		(92)	(92)	4,147	12/21/2018	2FE
81744F-FJ-1	SEMT 0411 A1 - CMO/RMBS		09/20/2018	Paydown	XXX	2,003	2,003	1,898	1,910		4		4		1,913		90	90	28	12/20/2034	1FM
	SPECTRA ENERGY PARTNERS																				
84756N-AC-3	LP		09/25/2018	Maturity @ 100.00	XXX	6,000	6,000	5,990	5,998		2		2		6,000		0	0	177	09/25/2018	2FE
84858W-AA-4	SPIRIT AIRLINES INC - ABS		08/15/2018	Paydown	XXX	169	169	169	169		0		0		169		0	0		08/15/2031	1FE
85172L-AA-4	SLFT 15A A - ABS		09/15/2018	Paydown	XXX	20,652	20,652	20,648	20,651		0		0		20,652		1	1	411	11/15/2024	1FE
86359L-AA-7	SAMI 03AR4 A1 - CMO/RMBS		09/19/2018	Paydown	XXX	2,700	2,700	2,592	2,602		4		4		2,606		94	94	38	01/19/2034	1FM
863667-AN-1	STRYKER CORP.		07/20/2018	Montgomery	XXX	1,946	2,000	1,987	1,989		1		1		1,990		(44)	(44)	60	03/15/2026	1FE
89114Q-B6-4	TORONTO-DOMINION BANK		07/23/2018	Maturity @ 100.00	XXX	25,000	25,000	24,995	24,999		1		1		25,000		0	0	438	07/23/2018	1FE
909319-AA-3	UNITED AIRLINES INC - ABS		08/15/2018	Paydown	XXX	748	748	772	749		(1)		(1)		771		(23)	(23)	17	02/15/2027	1FE
90931M-AA-4	UNITED AIRLINES INC - ABS		07/07/2018	Paydown	XXX	317	317	317	317		0		0		317		0	0	6	01/07/2030	1FE
91831P-AA-6	VOLT 17NPL2 A1 - RMBS		09/01/2018	Paydown	XXX	66,574	66,574	66,574	66,574		0		0		66,574		0	0	1,461	03/25/2047	2
92347X-AA-4	VZOT 161 A - ABS		09/21/2018	VARIOUS	XXX	381,543	383,000	381,415	179,989		848		848		382,283		(740)	(740)	3,801	01/20/2021	1FE
925110-AA-6	VEROS 171 A - ABS		09/15/2018	Paydown	XXX	4,757	4,757	4,757	4,757		0		0		4,757		0	0	85	04/17/2023	1FE
92857W-BJ-8	VODAFONE GROUP PLC		07/31/2018	DEUTSCHE BANK ALEX BROWN	XXX	20,005	20,000	19,806	19,806		4		4		19,810		195	195	142	05/30/2025	2FE
92871K-AA-6	VOLT 15NP14 A1 - RMBS		09/19/2018	Paydown	XXX	16,986	16,986	16,973	16,994		125		125		17,120		(134)	(134)	564	12/26/2045	0
92872D-AA-1	VOLT 17NPL8 A1 - RMBS		09/25/2018	Paydown	XXX	2,396	2,396	2,396	2,396		0		0		2,396		0	0	35	06/25/2047	0
92938E-AF-0	WFRBS 13C16 A2 - CMBS		09/17/2018	Paydown	XXX	56,451	56,451	58,376	57,254		362		362		57,629		(1,178)	(1,178)	1,422	09/17/2046	1FM
92938J-AB-8	WFRBS 13UBS1 A2 - CMBS		09/01/2018	Paydown	XXX	81,213	81,213	82,904	82,105		(581)		(581)		81,524		(311)	(311)	1,433	03/16/2046	1FM
94973V-BE-6	ANTHEM INC.		07/15/2018	Maturity @ 100.00	XXX	9,000	9,000	8,962	8,996		4		4		9,000		0	0	207	07/15/2018	2FE
	WFMBS 05AR3 1A1 -																				
94981R-AA-4	CMO/RMBS		09/01/2018	Paydown	XXX	1,072	1,072	1,088	1,124		0		0		1,125		(53)	(53)	21	03/25/2035	1FM
961214-DW-0	WESTPAC BANKING CORP		09/11/2018	Montgomery	XXX	19,145	20,000	19,929			4		4		19,933		(788)	(788)	431	01/25/2028	1FE
3899999 - Bonds - Industrial and Miscellaneous (Unaffiliated)						6,001,812	5,965,443	6,053,757	3,790,490	0	(16,426)	0	(16,426)	0	6,011,056	0	(9,516)	(9,516)	119,704	XXX	XXX
8399997 - Subtotals - Bonds - Part 4						12,113,934	12,228,312	12,214,377	5,022,859	0	(7,152)	0	(7,152)	0	12,171,014	0	(57,352)	(57,352)	216,110	XXX	XXX
8399999 - Subtotals - Bonds						12,113,934	12,228,312	12,214,377	5,022,859	0	(7,152)	0	(7,152)	0	12,171,01						

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
CUSIP Identi- fication	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consideration	Par Value	Actual Cost	Prior Year Book/Adjusted Carrying Value	11	12	13	14	15	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)

(a) For all common stock bearing the NAIC market indicator "U" provide: the number of such issues .....

Schedule DB - Part A - Section 1  
NONE

Schedule DB - Part B - Section 1  
NONE

Schedule DB - Part D - Section 1  
NONE

Schedule DB - Part D - Section 2  
NONE

Schedule DL - Part 1  
NONE

Schedule DL - Part 2  
NONE

**STATEMENT AS OF SEPTEMBER 30, 2018 OF THE Health Alliance Plan of Michigan**

## SCHEDULE E - PART 1 - CASH

[illegible]

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

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